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THE PARADOX OF GLOBAL CONSTITUTIONALISM: BETWEEN SECTORAL INTEGRATION AND LEGITIMACY

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THE PARADOX OF GLOBAL CONSTITUTIONALISM: BETWEEN SECTORAL INTEGRATION AND LEGITIMACY

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ABSTRACT: The liberal international legal order faces a legitimacy crisis today that becomes visible with the recent anti-internationalist turn and the Russian invasion of Ukraine. Either its authority or legitimacy is tested many times in the last three decades. By linking the rise of populism to the marriage of international law with neoliberalism, the article argues that this anti-internationalist trend may be read as a reaction against the neoliberal form international law has taken, not least in the last three decades. In uncovering the intricacies of international law's legitimacy crisis, the article uncovers the paradox of global constitutionalism: That it must adopt a sectoral form of integration leads necessarily to a legitimacy gap/deficit because international authorities rest their legitimacy on instrumental grounds and have problems extending their legitimacy to non-instrumental grounds and compensating for the legitimacy deficit caused by the erosion of domestic sovereignty. This paradox has three further implications for domestic democracies: It narrows down the regulatory space of nation-states, impairs democratic stability and solidarity, and provides a fertile ground for populism. Drawing on Raz's service conception, the article focuses on the interaction between international and domestic authorities and highlights the problematic aspects of the constitutionalization of international law.

KEY WORDS: Global Constitutionalism, Legitimacy, Neoliberalism, Joseph Raz, International Law

1. The Legitimacy Crisis of the Liberal International Legal Order

The liberal international legal order is said to face a crisis today¹, though its underlying reasons remain disputed and undecided. Some base their explanations on geopolitical developments by emphasizing the recent rise of the BRICS and the concomitant decline of the

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¹ Among many studies see, e.g., GJ Ikenberry, 'The end of liberal international order?' (2018) 94 *International Affairs* 1, 7, H Krieger, G Nolte and A Zimmermann (eds.), *The International Rule of Law: Rise or Decline?* (OUP, 2019)

US hegemony. For them, the crisis is transitional and temporary. For when a new equilibrium is found it is going to fade away². Rajput, for instance, takes it as a positive step in the legitimation of international law. She emphasizes how the rise of BRICS may pluralize the sofar unipolar international legal order and morph it into a plural and participatory one³. Ikenberry, however, does not associate the crisis of international law so much with the rise of BRICS as with international law's marriage with neoliberalism. He, therefore, proposes focusing more on the underlying structural reasons than the actors and insists on reading the anti-internationalist turn as a reaction to the international law's previous neoliberal twist precipitated in the last three decades⁴. The latter explanation seems promising when read together with Nancy Fraser's following remarks: "The populism of our time is a protest against neoliberalism—in the first instance, against neoliberalism's political economy"5. When populism is deemed an "illiberal democratic response to undemocratic liberalism"⁶, triggered by neoliberalism⁷; the connection between neoliberalism, populism, and the legitimacy crisis of international law becomes clear. Accordingly, I think Russia's invasion of Ukraine has brought to the fore the legitimacy crisis of international law which became particularly visible in the populist resurgence that makes no distinction between democratic and non-democratic countries and threatens the stability of both domestic and international legal orders⁸.

Even though neoliberalism has planted the seed of ongoing anti-internationalist and populist trend, its root dates to the period when the US assumed the role of a hegemon. Not only with words (regulations) but also deeds, the normative foundation of the post-war liberal international legal order has been undermined in the last three decades⁹. The primacy of human rights is forgotten when global migration, security, or health crises come to the surface or the prohibition on the use of force is disregarded when the hegemon's interest is at stake. The prohibition of the use of force, the foundational block of the post-war liberal international legal order, is a case in point. The US weakened this norm when it invaded Iraq and used consistently drone strikes on numerous occasions in the aftermath of 9/11. And it is no surprise that those

² Ikenberry (n 1) 18; A Rajput, 'The BRICS as "Rising Powers" and the Development of International Law', in Krieger et.al (n 1) 105-24.

³ Rajput (n 2).

⁴ Ikenberry (n 1) 22-23.

⁵ R Kumar, 'Populism, neoliberalism and the contemporary world: Reflections for an alternative politics with Nancy Fraser' (2019) 5 *Society and Culture in South Asia* 2, 340, 340.

⁶ C Mudde and CR Kaltwasser, *Populism: A very short introduction* (OUP, 2017).82.

⁷ N Lacey, 'Populism and the Rule of Law', in J Meierhenrich and M Loughlin (eds), *The Cambridge Companion to the Rule of Law* (Cambridge, 2021) 463.

⁸ Kumar (n 5) 346.

⁹ H Krieger and G Nolte, 'Introduction', in Krieger et.al (n 1) 7-18; EA Posner, 'Liberal Internationalism and the Populist Backlash' (2019) 49 *Ariz. St. LJ*, 795, 808-12.

events did render the norm on the prohibition of the use of force "not merely vague or ambiguous but effectively indeterminate" and unbreakable¹⁰. In other words, the norm was on the verge of losing much of its function as a signpost that guides states' behavior when Russia invaded Ukraine¹¹. So, the real threat to international law is coming from not autocratic but hegemonic states, as already drawn attention many years ago by prominent international lawyers¹².

It is beyond doubt that Russia's violation of a norm (the prohibition on the use of force) that lays the foundation of the post-war liberal international legal order is neither lawful nor legitimate¹³. Nevertheless, the lens through which to observe and interpret the Russians invasion of Ukraine should not be confined to a dichotomy between democracy and autocracy¹⁴. Hence, I suggest reading it as part of a general anti-internationalist trend that impugns the legitimacy of international law¹⁵. First, I suspect whether an actor-centric approach that concentrates on the alleged connection between Russia's authoritarian anti-liberal form of governance and its outright breach of international law may go beyond belaboring the obvious by putting the blame on Russia. Further, situating the discussion within a framework of democracy-autocracy dichotomy risks concealing how democratic states contribute to the destabilization of the international legal order. The UK, for instance, has frowned upon supranationalism and internationalism on many occasions by leaving the EU and resisting the

¹⁰ R Brooks, 'Drones and the international rule of law' (2014) 28 *Ethics & International Affairs* 1, 83, 98. Even though I do not agree with Thomas Franck on his subjective account of legitimacy, I think he has a point in flagging the negative correlation between indeterminacy and legitimacy by linking it to the uniform application of a rule and effectiveness of an authority. He notes that "noncompliant behavior, if tolerated, may render the content of that rule so indeterminate as to make it easy and tempting to be a scofflaw". TM Franck, 'The Power of Legitimacy and the Legitimacy of Power: International Law in an Age of Power Disequilibrium' (2006) 100 *American Journal of International Law*, 88, 93; for the linkage between legitimacy and determinacy Ibid 94-99.

¹¹ Krisch castigates European states for their unscrupulous use of the norm, N Krisch, 'After hegemony: the law on the use of force and the Ukraine crisis' *EJIL: Talk* < https://www.ejiltalk.org/after-hegemony-the-law-on-the-use-of-force-and-the-ukraine-crisis/. It is also argued that "unilateral uses of force are becoming the rule rather than the exception" R Geiß and N Melzer, 'Introduction', in R Geiß and N Melzer (eds.) *The Oxford Handbook of the International Law of Global Security* (OUP, 2021) 10. Cf. AS Weiner, 'Authoritarian International Law, the Use of Force, and Intervention, (2020) 114 *American Journal of International Law* 2, 220.

¹² See, e.g., A Peters, 'Compensatory constitutionalism: the function and potential of fundamental international norms and structures' 19 *Leiden journal of international law* 3, 579, 604-5.

¹³ AA Haque, 'An Unlawful War' (2022) 116 American Journal of International Law, 155.

¹⁴ For a similar argument in the EU context see, P Blokker, 'Populist Understandings of the Law: A Conservative Backlash?' (2020) 13 *Partecipazione & Conflitto* 3, 1433. Cf. W Sandholtz, 'Resurgent Authotritarianism and the International Rule of Law' (2019) *KFG Working Paper Series No: 38*, < https://publishup.uni-potsdam.de/opus4-ubp/frontdoor/deliver/index/docId/43589/file/kfg_wp38.pdf and T Ginsburg, 'Authoritarian International Law? (2020) 114 *American Journal of International Law* 2, 221. See for Ginsburg latest comments about the Russia's invasion of Ukraine https://worldjusticeproject.org/news/russia-ukraine-and-dangers-authoritarian-international-law

¹⁵ H Krieger, 'Populist governments and international law' (2019) 30 European Journal of International Law 3, 971.

legitimate authority of the ECtHR¹⁶. Second, I think this actor-centric approach runs the risk of masking the structural problems besetting the international legal order, particularly the problems posed by the neoliberalization of international law. In contrast, a broader perspective that approaches the Russian crisis within the framework of the legitimacy of international law bears the potential of highlighting its structural problems¹⁷. For the crisis of international law goes deeper than a simple autocracy-democracy dichotomy and invites us to discover its underlying structural reasons¹⁸.

To uncover the structural reasons that fuel the populism-induced reactions against liberal internationalism, let us benefit from Dani Rodrik's following trilemma: "We cannot have hyperglobalization, democracy, and national self-determination all at once. We can have at most two out of three". There is no need to delve into the details of his argument to grasp the essence of his message: Globalization is not always a good thing and does not always bring prosperity. Rodrik's trilemma makes me question whether global constitutionalism - legalization of international law - shares a similar fate with globalization as such. Is it virtually the case that global constitutionalism, as a good in itself, always contributes to the spread of liberal democracy? It is hard to give a positive answer to this question. Yet, it is equally hard to reveal the shortcomings of global constitutionalism and how it negatively affects domestic legal orders. Luckily, how globalization harms DLOs, weakens democracy and fuels populism is explored mainly by political scientists²⁰ and economists²¹. For instance, the new constitutionalists adroitly pay attention to how financial globalization, precipitated and advanced in the wake of the Cold War, harms DLOs, narrows down their regulatory space, and abates the benefits of the welfare state²². It is further shown how advanced forms of economic

¹⁶ A Zimmermann and N Weiß, 'International Law in Times of Anti-Globalism and Populism—Challenges Ahead: Comment on Jan Wouters', in Krieger et.al (n 1) 272-3.

¹⁷ For a similar approach to the health crisis caused by the COVID-19 see, L Gruszczynski et. al. (eds.) *The Crisis of Multilateral Legal Order: Causes, Dynamics and Consequences* (Routledge, 2022)

¹⁸ Krieger (n 15)

¹⁹ D Rodrik, The globalization paradox: Democracy and the future of the world economy (OUP, 2011) 200.

²⁰ See, e.g., C Kreuder-Sonnen and B Rittberger, 'The LIO's growing democracy gap: an endogenous source of polity contestation' (2022) *Journal of International Relations and Development*, 1-25 https://doi.org/10.1057/s41268-022-00275-x

²¹ D Rodrik, 'Populism and the economics of globalization' (2018) 1 *Journal of international business policy* 1, 12, D Rodrik, 'Why does globalization fuel populism? Economics, culture, and the rise of right-wing populism' (2021) 13 *Annual Review of Economics*, 133. For an article that highlights the tensions between the negative correlation between neoliberalism and democracy see, K Acar, 'Neoliberalism Incites but also restraints revolutionary change in the Third World – Why articulating multiple struggles is necessary to confront structures of domination' (2022) 19 *Globalizations* 7, 1013.

²² S Gill and A Cutler (eds.), New Constitutionalism and World Order (Cambridge University Press, 2014); J Griffiths and T Mylly (eds.) Global Intellectual Property Protection and New Constitutionalism: Hedging Exclusive Rights (OUP, 2022); see also R Hirschl, Towards Juristocracy: The Origins and Consequences of the New Constitutionalism, (Harvard University Press, 2009); with a focus on investment regime D Schneiderman,

globalization sets the stage for populism. For it puts domestic distributive policies and labor markets under strain²³. Yet it remains to be explored how global constitutionalism in its current form provides a fertile ground for populism and risks harming domestic democracies.

The article aims at uncovering the paradox of global constitutionalism caused by the three structural conditions in which international law is operating. First, constitutionalization of international law has no choice other than adopting a sectoral and incremental form of integration²⁴. I call it the necessity of sectoral constitutionalization (the SC). Second, global constitutionalism is likely to create a legitimacy gap/deficit because international authorities rest their legitimacy primarily on instrumental grounds and have problems extending their legitimacy to non-instrumental grounds and compensating for the legitimacy deficit caused by the erosion of domestic sovereignty²⁵. The erosion of domestic sovereignty does not go hand in hand with the construction of international authorities, which is the third factor that contributes to the maintenance of the domestic legitimacy deficit wrought by globalization ²⁶. That the speed between the constitutionalization of international law and de-constitutionalization of DLOs is not synchronized creates a paradox. For international authorities fail to plug the legitimacy deficit simply because they are not as much able to engender feelings like trust, solidarity, and loyalty as their domestic counterparts. To do so, they need to transform themselves into something that they are not initially designed for by, say, partially exceeding their legitimate boundaries and base their legitimacy on non-instrumental grounds. Without adopting policies that lie beyond their initial competence, it is highly unlikely for international authorities to awaken such feelings. The EU does present a telling example of the paradox of global constitutionalism, particularly when seen against how it dealt with the financial, sovereign debt, and health crises²⁷. The paradox of global constitutionalism has one structural and two content-

Constitutionalizing Economic Globalization: Investment Rules and Democracy's Promise, (Cambridge University Press, 2008).

²³ Rodrik (n 21); MA Wilkinson, 'The specter of authoritarian liberalism: reflections on the constitutional crisis of the European Union' (2013) 14 *German Law Journal* 5, 527, 535.

²⁴ Literature is abundant couched in different terms yet pointing to the sectoral integration and fragmentation of international law beyond territorial nation states. For three seminal studies see, P Korth and G Teubner, 'Two Kinds of Legal Pluralism: Collision of Transnational Regimes in the Double Fragmentation of World Society' in MA Young (ed.) *Regime Interaction in International Law. Facing Fragmentation* (Cambridge University Press, 2012); K Tuori, *European constitutionalism*, (Cambridge University Press, 2015); and T Işıksel, *Europe's Functional Constitution: A Theory of Constitutionalism Beyond the State* (OUP, 2016).

²⁵ Peters underscores that international law needs to base its legitimacy on new grounds following the erosion of state consent. Peters (n 12) 586-587. Habermas also highlights the need for a new model of "institutional arrangement that can secure a democratic legitimation for new forms of governance in transnational spaces. J. Habermas, 'Constitutionalization of International Law' (2008) 15 Constellations 4, 444, 445.

²⁶ Ibid 580.

²⁷ MA Wilkinson, *Authoritarian liberalism and the transformation of modern Europe*, (OUP, 2021). Habermas writes also clearly that "the institutions of the European Union are legally founded on international treaties but

related probable consequences on domestic democracies: It narrows down the regulatory space of nation-states, which may in turn impair democratic stability and solidarity and provide a fertile ground for populism. Whether the SC undermines democratic stability and fuels populism depends on the form of the SC adopted, mostly determined by the content of the norms constitutionalized at the international level.

A couple of clarification is needed before we move on to the investigation into the current form of SC that international law adopts. First, the article argues that the legitimacy of international law cannot be appraised except by reference to its impact on domestic legal orders (DLO)²⁸. For instance, one may consider an international authority legitimate because it meets a certain standard of legitimacy such as accountability, consent or some objective service²⁹. The article, however, strives to raise a different point by arguing that even when those international authorities meet a certain standard of legitimacy, they may still be deemed illegitimate simply because of the way in which they interact with domestic authorities³⁰.

Second, the article avoids engaging in a detailed analysis of each legal regime that belong to international law and investigating their distinctive impacts on domestic legal orders. For it requires a much more detailed analysis that cannot be addressed in an article³¹. Further, this sort of an approach may fail to take into account the connections between different legal regimes and risk missing the broader picture. In other words, international authorities are interacting with each other horizontally, in addition to their vertical interaction with domestic authorities. For this reason, the article is less interested in appraising whether an international authority is legitimate when it is interacting with domestic authorities than in exploring the legitimacy

they exercise decision-making competences that intervene so deeply in the social relations of the member states that they can no longer be legitimized on this foundation alone" Habermas (n 25) 445.

²⁸ Roughan stresses that how authority interacts with other authorities should be an independent condition for its legitimacy. N Roughan, 'Authorities: Conflicts, Cooperation, and Transnational Legal Theory' (OUP, 2013), particularly Chapter 8 (Relative Authority). While elaborating and carrying on Dworkin's latest work on international law, Besson underlines the distinctive sort of legitimacy grounds international (non-political) and domestic (political) authorities are capable of enjoying. S Besson, 'Sovereign States and their International Institutional Order: Carrying Forward Dworkin's Work on the Political Legitimacy of International Law' (2020) 2 Jus Cogen 2, 111.

²⁹ Marmor argues, for example, authority should be accountable to its subjects, A Marmor, Authority, Legitimacy & Accountability (March 1, 2023) Cornell Legal Studies Research Paper No: 23-06, Available at SSRN: http://dx.doi.org/10.2139/ssrn.4374357

³⁰ I take domestic authorities to be the authority of a legal system and use interchangeably with the term domestic legal orders.

³¹ See, e.g., A. Føllesdal, J. K. Schaffer, G. Ulfstein, *The legitimacy of international human rights regimes: Legal, political and philosophical perspectives* (Cambridge, 2013), Vol. 4. Further, the approach I adopted here borders on what Follesdal calls the legitimacy of "global basic structure" whose "primary unit of analysis is this multilevel legal order as a whole, rather than international or regional law and institutions in isolation from domestic legal systems" A Folesdal, 'Constitutionalization, Not Democratization' in N Grosmann et al (eds.) *Legitimacy and International Courts* 311 (Cambridge University Press, 2018).

challenges that arise from the cumulative effect of the SC of international law on domestic legal orders. Its purpose is, therefore, limited to paying attention to the connection between international and domestic legal orders, underscoring how the former impacts on the legitimacy conditions in which the latter is embedded, and thereby addressing the question of whether the constitutionalization of international law, or global constitutionalism in its current form infused with a neoliberal logic, is legitimate? By raising this question, it aspires to unveil the structural conditions that inform the current crisis of international law and to approach it from a broader perspective that goes beyond the simple dichotomy between democracy and autocracy. Though it is worth stressing that its purpose is not to justify the clear breach of international law by those autocratic and populist leaders.

Third, the paradox of global constitutionalism caused by the structural conditions is not a rule of nature impossible to overcome³². Even though the SC narrows down domestic regulatory space, the question whether it is legitimate or not hinges on the form of SC adopted. Put simply, the legitimacy question depends on two different factors: i) whether the SC of international law creates a legitimacy deficit, and if so, ii) whether international authorities can manage to fill it by awakening feelings like trust and loyalty. Imagine for instance that states, under the existential threat of climate change, agree on creating an international environmental authority and entrust it with the governing of climate change. Further assume that it acquires the status of a dominant regime such that international law is colored with norms that accord almost absolute primacy to environmental norms over others. Let us call it the Anthropocene SC³³. Even though one may consider the Anthropocene SC legitimate on the ground that it pursues legitimate and progressive aims, I think we must still raise the question whether it creates a legitimacy deficit by observing its impact on domestic legal orders³⁴. Assume further that the international environmental authority is filled with scientists who are authorized to decide only according to the scientific evidence adduced by climate change scientists who are accountable to nobody. In this case, the international authority that operates independently from states and

services and ii) the authority dependency exceptions.

³² In the section titled 'The Ideology of the Sectoral Constitutionalization: New Constitutionalism', I am going to explain how the previous form of SC, which is called embedded liberalism, was much more capable of solving the legitimacy problems posed by the constitutionalization of international law. I am grateful to anonymous reviewer to push me further to draw a distinction between the problems posed by the SC itself and the additional problems caused by its marriage with neoliberalism. It had a huge impact on my line of argumentation.

³³ I am grateful to the anonymous reviewer who raises a similar argument and challenge my claims about the paradox of global constitutionalism. His criticism leads me to make further clarifications about the paradox of global constitutionalism and draw a distinction between the SC and its neoliberal form. See for a similar suggestion, J Tully, et.al., 'Introducing global integral constitutionalism' (2016) 5 Global Constitutionalism 1, 1.
³⁴ I am going to explore the limits of SC in chapter V and VI by introducing two important constraints: i) essential

individuals may still be theoretically counted as legitimate if it achieves to fill the legitimacy gap by garnering the trust and support of individuals. Even though I find it quite unlikely that an international authority absent necessary accountability mechanisms can cultivate feelings of trust and loyalty, I still leave the door open for the possibility that those feelings be flourish under the extreme conditions in which individuals find themselves.

Fourth, because the legitimacy deficit is argued to derive from the fact that international authorities are, at least for now, unfit for generating feelings like trust and solidarity, a brief explanation about the conception of trust used in this article is needed. The literature on trust takes an authority to be trustworthy when it is believed to be competent and benevolent in the sense that it will pursue the interest of its subjects³⁵. The article uses, however, trust in a quite idiosyncratic sense, which requires a political community in which individuals may define themselves with their own political authority and consider it trustworthy when it operates in a morally decent way. I call it the political conception of trust³⁶. I will argue that domestic authorities, unlike their international counterpart, are capable of grounding their legitimacy on both instrumental and non-instrumental considerations, which means that they can generate feelings like trust and solidarity when they are functioning well and operating in a morally decent way. The SC does therefore create a legitimacy deficit because: i) international authorities are rising in number and competence absent mostly a background political community to awaken trust and ii) they began to undermine the very conditions that cultivate trust and solidarity in domestic political communities. The first condition applies to any form that the SC may take, yet the second condition results from the current form it takes after its marriage with the neoliberal logic. This is why the question of whether international authorities manage to fill the legitimacy deficit depends, among other things, on the content of norms that acquire de facto constitutional status. On this score, the article relies mostly on the literature on new constitutionalism in detecting the dominant legal regimes and their norms that give the current international law its distinctive color.

Against this backdrop, the article begins with a conceptual clarification of what I call the sectoral constitutionalization (the SC) (II.). Then it places the emphasis on its neoliberal turn by visiting the literature on the new constitutionalism and explores how trade-related norms acquired constitutional protection at the international level (III). The following section is

³⁵ For a seminal study see, R Hardin (2006) Trust Polity Press.

³⁶ See the section titled *Trust as an Additional and Non-Instrumental Grounds of Legitimacy for Domestic Authorities*

devoted to the analysis of the SC from the perspective of normative legitimacy by using Raz's recent explanations centered around the connection between international and domestic authorities (IV). As the main concern of the article is the way in which the neoliberal SC bears on domestic authorities, it seeks to discover the reasons for granting an additional level of protection to domestic authorities (V-VI). Then, it goes on by showing how the neoliberal SC puts those services unique to domestic authorities under pressure and provides a fertile ground for populism. (V-VI)

2. What is Sectoral Constitutionalization?

Despite the growing anxieties of international lawyers over the fragmentation of international law, international law keeps going on its constitutionalization even though it is a process fraught with pushbacks and various difficulties³⁷. As it lacks neither a global legislator nor constituent power, it cannot but help undergo a process of sectoral constitutionalization (the SC) by adopting a fragmented and piecemeal approach. The constitutionalization of international law has deprived the world of an absolute government with an "overarching, all-encompassing authority"³⁸, as it "erode(s) state sovereignty without replacing it"³⁹. Hence the circumstances in which we are living may be summarized as follows: "international interdependence, the weakening of national political authority, and the absence of an effective international order"⁴⁰. That is to say that we are in a transition period when neither states nor international institutions can have absolute sovereignty, a reality finds its best expression in terms like interlegality⁴¹, relative authority⁴² or limited state⁴³. That leads to what I call the paradox of global constitutionalism because international law is likely to weaken domestic authorities when it is in the process of constitutionalization.

³⁷ G Teubner, Constitutional fragments: societal constitutionalism and globalization (OUP, 2012).

³⁸ C MacAmlaigh, *New Constitutional Horizons: Towards a Pluralist Constitutional Theory*, (OUP, 2022) (depicting it as "World state gap") 59. Habermas objects to thinking of the ultimate form world organization in terms of states. Habermas (n 25) 447-448.

³⁹ J Raz, 'The Future of State Sovereignty', in W Sadurski, M Savel, and K Walton (eds.) *Legitimacy: The State and Beyond*, (OUP, 2019) 76.

⁴⁰ L Green, 'Globalization, Civil Disobedience, and the Rule of Law' (2006) 10 Unpublished MS.<Law-2006.pdf>.

⁴¹ J Klabbers and G Palombella (eds.) *The challenge of inter-legality* (Cambridge University Press, 2019); see also N Krisch (ed.) Entangled Legalities Beyond the State, (Cambridge University Press, 2021). ⁴² Roughan (n 28).

⁴³ J Raz, 'Why the State?', in N Roughan and A Halpin (eds.) *In Pursuit of Pluralist Jurisprudence*, (Cambridge University Press, 2017) 159-61.

Nonetheless, before moving on to the paradox of global constitutionalism and its attendant legitimacy problems, it is necessary to explain what constitutionalism or constitutionalization implies for this article. Constitutionalism in the international realm may come to mean different things⁴⁴. First, it may refer either to a normative standard, criteria, or a current state of affairs⁴⁵. Thus, I suggest, taking a cue from Brown, reading *constitutionalization* as a descriptive enterprise that *maps* and explains the process of legalization occurring beyond nation-states⁴⁶. Global constitutionalism, in contrast, refers to the normative enterprise concerned with shaping international law and aligning its constitutionalization process with a normative theory of constitutionalism⁴⁷. Here constitutionalization indicates a process, state of becoming or more clearly marching towards an ideal state of (global) constitutionalism. If we put aside its normative foundations, constitutionalization simply adverts to a process of "formalization, "legalization", or "judicialization" 48. Constitutionalization may further meet two different meanings: Constitutionalization of a subset of norms either within international law or of international law over domestic legal orders. We may call the first internal and the second external constitutionalization. Dunoff and Trachtman, for instance, identify constitutionalization primarily with empowering international institutions endowed with lawmaking powers (enabling constitutionalism) and developing some mechanisms to curb the former's power (constraining constitutionalism)⁴⁹. Thus, their understanding constitutionalization falls under what I call internal constitutionalization. In contrast, constitutionalization in this article stands for a process through which a set of norms gains

⁴⁴ My focus is on international law's constitutionalization process without much regard for how constitutional ideas migrate between domestic constitutional systems and generate a common set of constitutional principles. For an analysis from this perspective see, M Tushnet, 'The globalisation of constitutional law as a weakly neo-liberal project' (2019) 8 *Global Constitutionalism* 1, 29.

⁴⁵ For constitutionalism's liberal and republican traditions see, MacAmlaigh (n 38) 119-30.

⁴⁶ GW Brown, 'The constitutionalization of what?' (2012) 1 *Global Constitutionalism* 2, 201, 202, 209, 227; A Wiener, et.al., 'Global constitutionalism: Human rights, democracy and the rule of law' (2012) 1 *Global Constitutionalism* 1, 1, 8. See also M Loughlin, 'What is Constitutionalisation?', in M Loughlin, JP McCormick, N Walker (eds.) *The Twilight of Constitutionalism?* (OUP, 2010) 47-69.

⁴⁷ For normative theories of constitutionalism see, C MacAmlaigh, 'Harmonizing Global Constitutionalism' (2016) 5 *Global Constitutionalism* 2, 173.

⁴⁸ MacAmlaigh (n 38) 130-131. (arguing that constitutionalism may also imply a transition from primitive and less-systematic to advanced and modern legal orders). Loughlin (n 46) 61 (noting: "At its core, constitutionalisation presupposes legalisation; as greater swathes of public life are brought within the ambit of constitutional norms, so too are they disciplined by formal legal procedures".)

⁴⁹ JL Dunoff and JP Trachtman, 'A Functional Approach to International Constitutionalism', in JL Dunoff and JP Trachtman (eds.) *Ruling the World?* (Cambridge University Press, 2009) 9-11.

relative hierarchical superiority over others and imposes limitations on both domestic and international authorities⁵⁰. So, it covers both internal and external hierarchies.

A broader reading of constitutionalism, concerned with any sort of international norm that restricts the DLOs and depreciates their regulatory autonomy, does however fail to discriminate between ordinary international norms and those that acquired a special, constitutional status⁵¹. What the SC implies however is that a set of norms, roughly connected and converged around a regime, is, at least to some extent, immune to everyday politics⁵². Their constitutional status depends on their *de facto* substantive power, to wit, the extent to which they are practically resistant to ordinary political change, and they are privileged over ordinary international norms. The *de facto* power of a legal regime or a set of norms may lie in the level of institutionalization that a regime has achieved, say, by developing either advanced and politically independent law-applying institutions or armed with a decentralized yet coordinated enforcement mechanism. As such, those constitutional norms set *vertical* limits on domestic authorities and restrict their regulatory space, even though they lack the power to pre-empt or displace conflicting domestic norms⁵³. Further, they affect horizontally how other international norms are interpreted.

2.1. The Constitutionalized Sector

Whether the constitutionalization of international law is a good thing hinge primarily on the content of the norms constitutionalized at the international level. Such an investigation invites us to unearth the underlying ideology of global constitutionalism as well as provide an opportunity to evaluate whether the SC complies with the principles of global constitutionalism⁵⁴. The ideology of global constitutionalism has so far attracted limited attention and it is simply assumed that "any steps forward in overcoming the self-enclosure of domestic legal orders" is deemed something good and desirable. However, before making a

⁵⁰ This is couched in different terms. Some called it robust international law, A Buchanan and R Powell, 'Survey article: Constitutional democracy and the rule of international law: Are they compatible?' (2008) 16 *Journal of Political Philosophy* 3, 326; others name it international rule of law, Krieger et.al (n 1).

⁵¹ Dunoff and Trachtman (n 49) 11-13.

⁵² J Klabbers, 'Constitutionalism lite' (2004) 1 International Organizations Law Review1, 31.

⁵³ The impact of entrenched norms on DLOs is dependent also on whether there exist other supranational or regional mechanisms. The norms of the EU legal order, exemplifying a condensed and intensified version of the SC, have the power to preempt and disapply domestic norms owing to the principle of direct effect. MacAmlaigh (n 38) 153-154.

⁵⁴ A Shinar, 'The ideologies of global constitutionalism' (2019) 8 Global Constitutionalism 1, 12, 13.

⁵⁵ G Palombella, 'The rule of law beyond the state: Failures, promises, and theory' (2009) 7 *International Journal* of Constitutional Law 3, 442, 448. Follesdal calls this tendency "fallacy of accumulation", which presumes that "any increase in ... standard of legitimacy, in any part of the GBS (global basic structure) also increases the overall

normative assessment of global constitutionalism, it is essential to look at the norms constitutionalized at the international level and expose the hidden ideology of the SC⁵⁶. First, we need to acknowledge that law, a medium or tool to transform political wills into legal propositions, cannot but help being both an inclusive and exclusive device⁵⁷. It may bring about "favourable global conditions, including the increased security between powerful states, economic regulations that promote more trade, and generate some general compliance with humanitarian values". Yet it always bears the potential of being an instrument for domination by simply "lock(ing) in asymmetrical legal relationships that favour some states far more than others"⁵⁸. Hence, without primarily mapping the process of constitutionalization and describing the norms being constitutionalized, it is not possible to appraise whether the SC of international law is legitimate or not⁵⁹.

Which norms fall under the category of constitutional at the international level? First, it is required to stress that I am less concerned with detecting the reasons why one of those regimes or sets of norms acquired the status of constitutional and enjoyed primacy over others. My concern is to reveal those norms give today's international law its distinctive color and identity in order to detect the ideology of the current SC. This requires sociological research similar to that carried out by those we might lump under the heading of new constitutionalism, which is why I will mostly rely on their literature. For this reason, the legitimacy analysis of the neoliberal SC is premised on the acceptability of the arguments advanced by the new constitutionalist scholars.

If *jus cogens* norms are brushed aside due to their limited impact on the international and domestic levels⁶⁰, one is left with three alternatives: i) international human rights norms aimed at protecting individuals' interests beyond nation-states, ii) norms that render possible the international economic, commercial and financial activities (international economic law)⁶¹,

normative legitimacy of the global basic structure. Such a fallacy ignores the complex interplay among institutions" Follesdal (n 31) 323-324.

⁵⁶ C Schwöbel-Patel, 'The political economy of global constitutionalism', in AF Lang and A Wiener (eds.) *Handbook on Global Constitutionalism*, (Edward Elgar Publishing, 2017) 406-10; A Orford, 'A Global Rule of Law' in Meierhenrich and Loughlin (n 7) 563.

⁵⁷ H Lindahl, Authority and the Globalisation of Inclusion and Exclusion, (Cambridge University Press, 2018)

⁵⁸ Brown (n 46) 225-6.

⁵⁹ Ibid 216.

⁶⁰ A Bianchi, 'Human rights and the magic of jus cogens' (2008) 19 European journal of international law 3, 491-508

⁶¹ A Lang, *World trade law after neoliberalism: Reimagining the global economic order*, (OUP, 2011) (tracing the history of international economic law within the framework of a dichotomy between trade and human rights) 23-156.

and iii) international security norms clustered around the UN security regime⁶². The new constitutionalists hold the view that international economic norms gained priority over other norms such as human rights, climate change, and international security. Patel, for instance, asserts that "there is larger consensus on how to conduct the global economy – by states, organizations, corporations and individuals alike – than there is on rights protection"63, and underlines how international economic law acquire a constitutional status owing to its clarity, stability, and predictability. Genschel and Zangl, similarly, note: "There is hardly an issue area today which is not to some extent regulated by the decisions of international institutions ...However, it is in the economic sphere where international decision-making competences have extended furthest"⁶⁴. The editors of this journal subscribe to the view that the logic of trade (the principle of "progressive development"65) lies hidden behind the trinity of global constitutionalism (human rights, democracy, and the rule of law) as a second-order norm that provides interpretive unity and clarity to the international legal order. The relationship between international economic norms and the trinity of global constitutionalism is they submit, inversed, in the hope that economic prosperity will bring about human rights, democracy, and the rule of law⁶⁶.

Explanations surrounding why the neoliberal SC accorded priority to economy and trade-related norms are abundant. Some, for instance, exhibit how globalization in economic, financial, and communication sectors brings about the global synchronization of relevant norms. This prompts a process of functional disintegration of trade-related norms at the national level, which renders domestic legal and political systems partially dysfunctional and desynchronized⁶⁷. Similarly, some evince why nation-states are compelled to outsource their regulatory competence to international technocratic institutions, administrative bodies, private standard-setting institutions, and even digital platforms under the pressure of technological and digital transformations and the ensuing demand for high-speed and technical regulation⁶⁸. Literature also draws attention to how globalization has a mutually supportive relationship with

⁶² B Fassbander, 'Rediscovering a Forgotten Constitution: Notes on the Place of the UN Charter in the International Legal Order' in (n 49) 133-148.

⁶³ Schwöbel-Patel, (n 56) 415.

⁶⁴ P Genschel and B Zangl, 'Transformations of the state: from monopolist to manager of political authority' (2008), TranState Working Papers, No. 76, Available at: https://www.econstor.eu/bitstream/10419/27908/1/600426661.PDF

⁶⁵ J Tully, et al (n 33) 3.

⁶⁶ Ibid 3-4.

⁶⁷ H Rosa, Societal Acceleration: A New Theory of Modernity, (Columbia University Press, 2013)

⁶⁸ C Thornhill, 'Constitutionalism between Nation States and Global Law', in P Blokker and C Thornhill (eds.) *Sociological Constitution* (Cambridge University Press, 2017) 135-77.

trade-related norms, which requires mostly negative regulation, as opposed to other norms asking for positive regulation, which requires to reach a decision at the international level⁶⁹. In giving an account of the neoliberal SC, it is also possible to underline the level of institutionalization by pointing to the fact that the international economic norms are embedded in stronger institutional settings vested with advanced and somehow politically independent law-applying institutions⁷⁰, as well as decentralized yet coordinated enforcement mechanism⁷¹. I am not so much interested in excavating the reasons that give rise to the neoliberal SC, as in demonstrating that international economic law gained a privileged status over other norms in today's international law. To do so I will rely first on the explanations presented by the new constitutionalists and then present two different examples to show how today's international law is painted with the color of economic laws.

2.2. The Ideology of the Sectoral Constitutionalization: New Constitutionalism

The ideology question of global constitutionalism has so far attracted scant attention. "Much of the literature on global constitutionalism", as put rightly by Shinar, "can thus be read against the background of hope"⁷², an aspiration that it will render possible the peaceful coexistence and effective functioning of international and domestic legal orders. However, it is unclear whether more international law brings always more normativity. The plurality of norms may, for instance, undermine the effectiveness of international law, provoke regime and court shifting and enable multiple non-compliance channels to be exploited by powerful states⁷³. It may give rise to hegemonic inter-regime relationships in a way as to reflect the deep-rooted domestic tensions "between a political and economic elite and the politically and economically deprived and silenced"⁷⁴. As might be expected, it runs the risk of delegitimizing international law⁷⁵.

⁶⁹ JP Trachtman, 'Constitutional Economics of the World Trade Organization', in (n 49) 206-229. Habermas, (n 25) 446.

⁷⁰ The collision of human rights norms with humanitarian law leads the former to colonize the latter, S Oeter, 'Regime Collisions from a Perspective of Global Constitutionalism' in K Blome et.al., *Contested Regime Collisions: Norm Fragmentation in World Society*, (Cambridge University Press, 2016) 37-39.

⁷¹ For the distinctive features of the WTO regime, D Palmeter, 'The WTO as a Legal System' (2000) 24 *Fordham Int'l LJ* 1, 444, 469, for its interpretive power over other regimes J Flett, 'Importing other international regimes into World Trade Organization litigation', in Young (n 24) 261-304.

⁷² Shinar (n 54) 17.

⁷³ E Benvenisti and GW Downs, 'The empire's new clothes: political economy and the fragmentation of international law' (2007) 60 *Stan. L. Rev.*, 595.

⁷⁴ Schwöbel-Patel, (n 56) 410.

⁷⁵ MacAmlaigh (n 38) 140-143.

International law's sectoral integration that prioritizes economic and market freedoms and trade-related norms over others, say, environment, biodiversity, public health, and cultural rights is depicted by many scholars as a new form of imperialism or colonialism. Although it is couched in different terms including "new constitutionalism"⁷⁶, "constitutionalism 3.0"⁷⁷, or "authoritarian liberalism"⁷⁸, the crux of their argument is similar: The neoliberal SC's economic bias exerts illegitimate pressures on DLOs. Somek, for instance, argues that constitutionalism 3.0 is a far cry both from constitutionalism 1.0 concerned with the protection of human rights and constraining public power, and from constitutionalism 2.0. whose hallmark is the deontological protection of human rights. Constitutionalism 3.0 is marked, for Somek, by empowering of international and technocratic institutions under the impression of necessity; therefore, it implies doing the right thing in the "brave new world of exigencies" ⁷⁹. Under these conditions, constitutionalism is said to abandon its emancipatory aspirations, states are governed like companies, and democratic decision-making is to be tamed and aligned with the interests of global capital⁸⁰.

The hallmark of the new constitutionalism is, therefore, the subordination of DLOs to the demands of global capital and the economy. It is best visible in the EU's economic integration project, the judicialization of the international trade regime after the establishment of the WTO DSBs, and the mushrooming of FTAs that increase the protection accorded to trade-related rights (intellectual property and investment rights). The new constitutionalists are not *per se* against international economic law, which brings many benefits to nation-states, including fostering trade, promoting the general welfare, and increasing the individual's average living standards. Rather, they find fault with the hyperglobalization, which commenced in the mid-1970s and precipitated after the collapse of the two-polar world⁸¹. They contest the depoliticization of economic and trade-related policies under the guise of necessity and emphasize the essentially political nature of the politics of necessity⁸².

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⁷⁶ Gill and Cutler (n 22).

⁷⁷ A Somek, *The Cosmopolitan Constitution*, (OUP, 2014)

⁷⁸ Wilkinson (n 27).

⁷⁹ Somek (n 77) 23

⁸⁰ Ibid 25.

⁸¹ Orford (n 56) 563.

⁸² Wilkinson (n 27) 73-9.

The constitutionalization of international law set about after the World War II is a period marked by distrust in politics and democracy rather than capitalism itself⁸³. The blame is put on the overpoliticization of parliamentary democracy during the inter-war period and the solution was found in different mechanisms based on a similar idea: Technocratic institutions tasked with preventing democracy from having gone awry are to be established within and beyond nation-states⁸⁴. It is held that international technocratic institutions are necessary to "inoculate capitalism against the threat of democracy" and to defend it against the inclusionary tendencies of national democratic movements⁸⁵. Hence, entrenching economic norms at the international level is a double-purpose project plotted against both nationalism and democracy. Connecting the spread of democracy with the legalization of international trade, Slobodian invites us to take the rise of international technocratic institutions as a response to the spread of mass democracy. In keeping with the ordoliberal's aspirations, a mode of "double government" is constructed according to Slobodian: The governance of international economic law is insulated or encased from the government of domestic democratic legal orders⁸⁶. International regulation of fiscal, monetary, and economic policies is believed to discipline domestic democracies if it is hermetically isolated from the influence of domestic legal orders. The depoliticization and de-democratization of the economy are thought to be the best alternative to the inter-war period of excessive majoritarian democracies.⁸⁷.

It goes without saying that we need international norms regulating international trade in an integrated and interdependent world like ours. Yet what is less clear is that: What should be the level of integration and how much regulatory autonomy should be left to nation-states?⁸⁸ In other words, there is no one version of the SC. The first phase, spanning from the 1950s to the late 1970s, is generally dubbed embedded liberalism to emphasize its characteristic feature: Global economy or liberal trade is "embedded within a larger commitment to interventionist domestic policies"⁸⁹. In other words, the regime, established with the Bretton Woods

⁸³ "Distrust of unrestrained popular sovereignty" is "in the very DNA of post-war European politics". JW Müller, 'Should the EU protect democracy and the rule of law inside member states?' (2015) 21 *European Law Journal* 2, 141, 152.

⁸⁴ Müller classifies the EU as an example of militant democracy, JW Müller, 'Beyond militant democracy?' (2012) 73 New Left Review, 39-47.

⁸⁵ Q Slobodian, *Globalists: The End of Empire and the Birth of Neoliberalism* (Harvard University Press, 2018) 2. ⁸⁶ Ibid 23-26.

⁸⁷ Gill and Cutler (n 22) 24.

⁸⁸ This is what Lang calls "the indeterminacy of the WTO". Lang (n 61) 5.

⁸⁹ G Moon and L Toohey, 'Introduction to the Embedded Liberalism Compromise', in G Moon and L Toohey (eds.) *The Future of International Economic Integration*, (Cambridge University Press, 2018) 3. For a broader analysis of international economic law see, A Afilalo and D Patterson, 'Global economic constitutionalism and the future of global trade' (2018) 40 *U. Pa. J. Int'l*, 323; and for international law see, JHH Weiler, 'The Geology of International Law–Governance, Democracy and Legitimacy' (2004) 64 ZaöRV 547.

conferences, mirrors a compromise between the requirements of international trade and domestic regulatory autonomy, envisions a moderate form of globalization or shallow multilateralism, and gives nation-states sufficient leeway to pursue their own distributive policies⁹⁰. In that sense, the global economy is "subservient to domestic policy objectives ... not the other way around"⁹¹, which is the reason why many scholars consider the regime legitimate⁹².

The WTO, established in 1995, marks a turn away from the Bretton Woods' embedded liberalism towards a vertically stricter separation of economy from politics and heralds a different mode of international economic integration deeper than the Bretton Woods⁹³. Domestic regulatory policies are made "subservient to international trade and finance" and international trade law turns out to be "an end in itself" Hyperglobalization, the term used by Rodrik to differentiate it from embedded liberalism, is marked by two key developments introduced by the WTO regime. First, the scope of international economic law expanded remarkably after the Uruguay Round in the mid-1990s, which brings many policies under the WTO regimes, including services as well as agricultural and industrial policies ⁹⁵. That causes the further shrinking of the regulatory space left to the nation-states. Second, a dispute settlement mechanism with compulsory jurisdiction and relative isolation from the political influence of nation-states is established to promote predictability and legal security. These developments have placed it in a hierarchically superior position in the global legal order as the international economic law gained a much more institutionalized and centralized appearance than its competitors.

2.3. Two Examples: The EU and the TRIPS-Plus

As may easily be anticipated, this second period is generally deemed illegitimate ⁹⁶. It is said, for instance, that the new constitutionalism protects predominantly the interests of transnational companies "in the name of the primary rights of corporate persons, free trade and unlimited growth" Tushnet, for instance, observes an elective affinity between global constitutionalism and neoliberalism because the DLOs are impelled to prioritize "economic

⁹⁰ Rodrik, (n 19) 69; Lang (n 61) 190-220.

⁹¹ Rodrik, (n 19) 70.

⁹² Afilalo and Patterson (n 89) 339-349.

⁹³ Lang (n 61) 221-272.

⁹⁴ Rodrik (n 19) 76.

⁹⁵ Ibid 78-83.

⁹⁶ Afilalo and Patterson (n 89); Lang (n 61) 313-317.

⁹⁷ Tully et al (n 33) 8.

growth over the expansion of the social welfare state"⁹⁸. Even more radically, Patel maintains that global constitutionalism has been a "facilitator of neo-liberalism and neo-colonialism" rather than "being a beacon for rights and freedom"⁹⁹. In other words, the post-1989 period witnessed a process where liberalism is equated with free trade and individual rights are sacrificed on the altar of market freedoms. It does so by bestowing norms related to trade and commerce with constitutional status and protection ¹⁰⁰.

The new constitutionalism has far-reaching impacts on domestic legal orders: It narrows their regulatory space, shifts the center of politics away from parliaments to executive and administrative bodies and technical standard-setting institutions¹⁰¹, and supports an unsustainable form of "market-preserving federalism" that vertically separates economic from politics¹⁰². The technocratization and de-democratization of the law are the hallmarks of this period. The downward pressure on domestic labor, health, and safety standards as well as on tax and industrial policies after the globalization of services, IP rights, and finance are paradigmatic examples of how the SC influences domestic legal orders¹⁰³. In addition to this vertical pressure, its influence is also felt in the neighboring regimes such as environment, public health, and cultural heritage. As a relatively institutionalized regime with an apex court, international economic law plays also a major role in the interpretation of other norms that have a trade-related dimension. To show how the SC impairs domestic legal orders, revisiting two brief exemplary cases would suffice. One relates to the EU's response to the financial crisis and the other to the governance of intellectual property rights beyond nation-states.

The EU's dissimilar attitudes towards the financial and rule of law crisis are telling examples of the biased nature of the post-war SC. When the EU is staggeringly reluctant to take measures against those populist countries (Hungary and Poland) that challenge the EU's foundational normative values¹⁰⁴, it showed no hesitation to discipline Greece when recognized that it fails to meet the EU's financial requirements¹⁰⁵. The EU abstain from taking necessary measures agaist those populist countries, even though it is a union founded on the values like

⁹⁸ Tushnet (n 44) 30.

⁹⁹ Schwöbel-Patel (n 56) 414

¹⁰⁰ Ibid

¹⁰¹ S Sassen, 'When the global inhabits the national: Fuzzy interactions', in Gill and Cutler (n 22) 115-25.

¹⁰² A Harmes, 'New constitutionalism and multilevel governance', in Gill and Cutler (n 22) 147-48.

¹⁰³ Rodrik (n 19) 190-200.

¹⁰⁴ G De Búrca, 'Poland and Hungary's EU membership: On not confronting authoritarian governments' (2022) 20 *International Journal of Constitutional Law* 1, 13.

¹⁰⁵ Müller (n 84) 39, 44.

democracy and the Rule of law¹⁰⁶, and premised on the idea that all states meet those requirements at least to a sufficient degree, which find its best expression in the principle of mutual recognition¹⁰⁷. The dissimilar attitude of the EU towards the financial and rule of law crisis manifests lucidly how the SC is imbued with a logic of neoliberalism¹⁰⁸. Further, the EU's austerity measures were made possible only by sidestepping the EU's formal treaty-based institutional structure through Troika and bypassing various democratic control mechanisms primarily the Greek parliament. All in all, those measures are implemented even at the cost of undermining the basic social and economic services provided to Greek citizens¹⁰⁹. When it comes to, however, the rule of law crisis, the Commission, the guardian of the treaties, shies away from initiating a new institutional mechanism that may solve the problem, as it did in the financial crisis¹¹⁰.

Another example that springs to mind is the disharmony between the status of international IP rights and their exceptions and limitations. Under the TRIPS regime, international IP rights are elevated to constitutional status while their limitations and exceptions are left to nation-states without much clarity as to how to use and implement them¹¹¹. This one-dimensional constitutionalization of IP rights accords them priority over other rights (public health, protection of cultural heritage, rights of disabilities, etc.), creates a regulatory chill, and pushes nation-states further away from regulating any domain, tangentially or plausibly infringing IP rights. Because it constitutionalizes the minimum level of protection accorded to IP rights, their exceptions and limitations become vulnerable to further erosion, through the FTAs in which IP rights are dressed up as investment rights to circumvent the use of exceptions¹¹². International IP rights are depicted as a second enclosure movement or constitutional hedges because multi-level protection is provided by bringing different legal

¹⁰⁶ Article 2 TEU.

¹⁰⁷ Müller underlines how these populist states pose a challenge to the effective functioning of the EU while he notes "a government going 'rogue' puts into jeopardy the entire European edifice" simply because "If national institutions of democracy and the rule of law fail, mutual recognition will end" Müller (n 83) 145.

¹⁰⁸ Müller (n 84) 44.

¹⁰⁹ G Majone, *Rethinking the Union of Europe Post-Crisis: Has Integration Gone Too Far*? (Cambridge University Press, 2014) 179-208.

¹¹⁰ Müller (n 83) 150-156. (He suggests a Copenhagen Commission responsible for overseeing whether domestic authorities comply with the basic requirements of democracy and the RoL)

Griffiths and Mylly (n 22). For my take on the legitimacy of international intellectual property regime see, G Capar, '(II)Legitimacy of International Intellectual Property Regime?' (2023) *Leiden Journal of International Law*, 1-27. doi:10.1017/S0922156523000146.

¹¹² R Dreyfus, 'Hedging Bets with BITS: The Impact of Investment Obligations on Intellectual Property Norms' in Griffiths and Mylly (n 22) 157-75.

regimes together¹¹³. The exceptions and limitations are vital to domestic legal orders as they allow them to strike a balance between the demands of international economic law and local needs and interests. When this dynamic is further exposed to light, it becomes clear that international law's SC provides powerful states ample opportunities to further their interests by either shifting or combining regimes¹¹⁴.

The foregoing examples underscore once again how important it is to separate mapping the constitutionalization of international law from its normative evaluation. It makes clear why many scholars discredit as an example of global constitutionalism, the TRIPS-plus era or the EU's technocratic measures against the financial and sovereign debt crisis 115. And the reason why the EU scholars lambast the Maastricht design for its insulation of macro-economic policies from the oversight of domestic democracies 116. In fact, many scholars from different disciplinary traditions agree on a moderate form of globalization that leaves nation-states enough regulatory space to align their policies with the demands of globalization without undermining the local needs of society 117. The common and recurring thread visible in those suggestions is that the transformation of international law after the Cold War is illegitimately suffocating domestic legal orders and that we need new forms of SC attentive to the demands of nation-states and supportive of democracy.

3. The Legitimacy of (the Neoliberal SC of) International Law

The constitutionalization of international law is believed to compensate for the problems afflicting DLOs overwhelmed under the pressure of globalization and contribute to their democratization and liberalization process¹¹⁸. This is indeed a normative argument about how international law should interact with domestic authorities. For instance, Peters, one of the earliest proponents of compensatory constitutionalism, avows that "domestic deconstitutionalization due to globalization should and could be compensated for by the

¹¹³ PK Yu, 'The Second Transformation of the Intellectual Property Regime' in Griffiths and Mylly (n 22) 176-97

¹¹⁴ Benvenisti Downs (n 73)

¹¹⁵ Brown (n 46) 214-215; Tuori (n 24).

¹¹⁶ Majone (n 109) 118-179.

¹¹⁷ Rodrik (n 19) 207-232; JL Cohen, *Globalization and sovereignty: Rethinking legality, legitimacy, and constitutionalism*, (Cambridge University Press 2012); Majone (n 109); Tuori (n 24); Raz (n 43); Afilalo and Patterson (n 89). An eco-social constitution is suggested as a replacement for neo-liberal sectoral constitutionalism, Tully et al (n 33) 10-1.

¹¹⁸ For 'supplemental constitutionalism' see Dunoff and Trachtman (n 49) 14-18; Thornhill (n 68) 159. It is also called 'multi-level constitutionalism' Loughlin (n 46) 64-68.

constitutionalization of international law"¹¹⁹. For Peters, constitutionalism means developing a set of normative principles – the respect for human rights, the rule of law, or global common interest – on top of the principle of state sovereignty¹²⁰. By the same token, Pavel takes international law to be "an institutional insurance scheme" designed to intervene in DLOs "only when states as primary agents have failed dramatically"¹²¹ what they are supposed to do. In other words, the legitimacy of international institutions is dependent on whether they succeed in "compensate(ing) for grave failures of state agency"¹²². Viehoff similarly draws attention to this complementary nature of international authorities by stating that: "Many cases of legitimate authority are 'compensatory': they depend on the presence of some deficiency or incapacity—insufficient knowledge, an inability to coordinate, etc.—that the authority is meant to help overcome"¹²³.

Many benefits come with international law. It primarily helps domestic authorities overcome collective action problems by ensuring coordination, providing superior empirical and normative knowledge, and surmount the problems of volitional deficiencies and cognitive biases. Most clearly, international law serves to overcome normative disagreements by authoritatively laying down norms for the globe and bringing together different interests under a particular legal regime ¹²⁴. To illustrate, international human rights law serves to surmount the difficulties of constitutional democracies with the protection of minority rights and curbing the power of special interest groups ¹²⁵. But nothing comes without a price. As paid attention by Buchanan and Powel, the *constitutionalization* of international law carries a risk of domination, not least when it is lacking constitutional safeguards with which we are acquainted in the DLOs ¹²⁶. As international law's legitimacy is bound up with its standing in a complementary relationship with the DLOs, it should not only help states to alleviate the problems coming with globalization but also avoid impairing the legitimate authority-subject relationship developed in the domestic context.

¹¹⁹ Peters (n 12) 580.

¹²⁰ Ibid 586. Habermas (n 25) 445 (He talks about supplementary function of international law).

¹²¹ C Pavel, Divided Sovereignty: International Institutions and the Limits of State Sovereignty, (OUP, 2014) 197.

¹²³ D Viehoff, 'On Authority, Legitimacy, and Service: Discussion of the Morality of Freedom' (2016) 14 *Jerusalem Review of Legal Studies* 1,113, 118.

¹²⁴ J Tasioulas, 'The Legitimacy of International Law', S Besson and J Tasioulas (eds.) *The Philosophy of International Law* (OUP, 2010) 102.

¹²⁵ Buchanan and Powell (n 50) 330-1.

¹²⁶ Ibid 329-330.

Literature is abundant in the relationship between democracy and international law. And some of those are highly radical, as they observe a conceptual tension between technocratic international law and constitutional democracies ¹²⁷. Since my interest lies in the legitimate forms of interaction between domestic and international authorities, I will dwell on what Buchanan and Powell call "the constitutional derangement argument" ¹²⁸. They contend that international law risks impairing "the internal constitutional structures of a constitutional democracy" by undercutting either "the constitutional allocation of power among the branches of the government" or "the undermining of federalism by robbing federal units (states, cantons, provinces, etc.) of some of their proper authority" ¹²⁹. The constitutional derangement argument invites us to an investigation into whether international authorities may exert undue influence on DLOs, impair their internal functioning and undermine their collective decision-making process. And it encourages us to inquire whether there are other ways in which international authorities vitiate the functioning of domestic authorities. We need to take seriously the arguments advanced by the new constitutionalists and inquiry whether the requirements of compensatory constitutionalism are satisfied.

3.1. Conceptions of Legitimacy

Legitimacy is an ambiguous concept appropriate to an analysis from different perspectives including sociological, constitutional, legal, and normative standpoints¹³⁰, yet it is clear to me that with authority comes the need for legitimation and the problem of legitimacy. When legitimacy is understood from a sociological perspective, it addresses the question of whether an authority is perceived as legitimate by those who live under its rule. The term legitimation is mostly used the process through which an authority gains legitimacy in the eyes of its subjects. The normative account of legitimacy is concerned, however, with the question of what gives an authority the right or power to rule over its subjects¹³¹. In other words, the legitimacy in the normative sense interested less in how authority is perceived by individuals than in how it gives them objective reasons for action¹³². It pertains to the normative power to

¹²⁷ They call it the argument from the loss of self-determination; Ibid 343-344.

¹²⁸ Ibid 341-343.

¹²⁹ Ibid 341.

¹³⁰ See, e.g., MacAmlaigh (n 38) 178-206.

For a summary of the literature see, F Peter, 'Political Legitimacy', *The Stanford Encyclopedia of Philosophy* (Summer 2017 Edition), Edward N. Zalta (ed.), URL = https://plato.stanford.edu/archives/sum2017/entries/legitimacy/.

¹³² J Raz, 'Democratic Deficit' (2018) Columbia Public Law Research Paper No. 14-587, 8. < https://scholarship.law.columbia.edu/faculty_scholarship/2082/>

change other's normative situations. So, when an institution is deemed legitimate, its rulings are held binding because it is believed that authority has a right to rule over individuals ¹³³.

The literature on the normative account of legitimacy is divided into two main camps that give distinct answers to the question of what makes an authority legitimate: i) will-based and ii) reason-based accounts ¹³⁴. The proponents of the will-based account hold the view that an authority derives its legitimacy from the consent or will of those who are subject to the commands of an authority. In contrast, those who defend the reason-based account denies assigning an ultimate role to consent by raising mostly the argument that consent can be a source of obligation only if it is given for the right reasons. In other words, the consent-based account of legitimate authority is predicated on the assumption that because consent is a manifestation of individual autonomy, there is no tension between individual autonomy and authority when consent is given. However, we all know very well that not every given consent is compatible with individual autonomy. Raz, therefore, rightly argues that "to the extent that the validity of consent rests on the intrinsic value of autonomy, it cannot extend to acts of consent that authorize another person to deprive people of their autonomy" 135. In other words, the assumption that consent does always protect individual autonomy is to be refuted on two different grounds. First, because individuals may consent to many things such as being a slave or using drags, the validity of consent is better to be limited by some objective moral reasons, which finds its expression, for Raz, in his service conception of authority¹³⁶. Second, there is a crucial difference between the consent in the private domain when an individual gives his consent to somebody to do something, and the consent given to the state whose claim to authority is unlimited and unbounded to such an extent that it "may at any time take away all one's autonomy"¹³⁷. This is the main reason why Raz militates for the reason-based account of legitimate authority by noting:

¹³³ The close relationship between sociological and normative accounts of legitimacy is already conceded by Raz, ibid 18.

¹³⁴ For a three-fold distinction which includes also hybrid account in addition to reason- and will-based accounts. F Peter, 'The Grounds of Political Legitimacy' (2020) 6 *Journal of American Philosophical Association* 3, 372. Hershowitz similarly classifies legitimacy into three types: substantive, procedural and hybrid theories of legitimacy, S. Hershowitz 'Legitimacy, Democracy, and Razian Authority' (2003) 9 *Legal Theory* 3, 201, 212. I follow here the two-fold classification in order to avoid the complexities likely to be posed the hybrid accounts in which the legitimacy of an authority is based on the sufficiently justified belief that authority is competent to do what it is supposed to do. For a similar two-fold distinction see, Harel and Shinar (n 25); and Roughan (n 28) 29-42 (She draws a distinction between justification to subjects and justification simpliciter).

¹³⁵ J Raz, Ethics in the Public Domain: Essays in the Morality of Law and Politics, (OUP, 2013) 364.

¹³⁶ When the service conception is understood broadly in a way that includes the independence condition.

¹³⁷ Raz (n 135) 364.

"It appears that one can validly consent only to an authority that is legitimate anyway, on independent grounds.... It means that consent to be governed can be binding only if it is limited so as to be consistent with autonomy. That entails that it must be consistent with the two conditions of legitimacy" ¹³⁸.

The two conditions of legitimacy stand for what Raz calls the service conception of authority whereby authority is legitimate as long as it is a better service provider than its alternatives (the normal justification condition), and when it is more important to give the right decision than to decide for oneself (independence/autonomy condition)¹³⁹. The first thing that sticks out to me is that the service conception is the normal, not the only, way to justify authority. For this reason, consent plays a secondary role in the service conception in the sense that it is not used as a condition necessary for the legitimacy of authority but as an output, which finds its expression as an attitude of respect shown to authorities when they are deemed worthy of it¹⁴⁰. In fact, this is one of the main points of criticism raised against the service conception on the ground that it does undervalue the role played by consent or democratic procedures in the justification of authority¹⁴¹. I will avoid engaging in those discussions about whether a more prominent role is to be given to democracy and consent, first because I adopt an instrumental and reason-based approach to the legitimacy question of authorities. Second, my research question concerns whether the neoliberal SC poses undue constraints on domestic authorities and weaken their democratic decision-making capacity, which in turn may undermine its legitimacy. If an affirmative answer may be given to this question from the perspective of the service conception, which accords a secondary role to consent and democracy, then we may easily conclude that it is also defensible from the perspective of hybrid or will-based accounts of legitimacy.

¹³⁸ Raz (n 135) 364.

¹³⁹ Raz (n 143) 136-139. The service conception is composed of three conditions: i) the normal justification thesis, ii) dependence thesis, and iii) pre-emption thesis. See for detailed explanations. Raz (n 142) 54-69.

¹⁴⁰ Raz (n 135) 356.

¹⁴¹ Hershowitz's challenge to the service conception of authority seems to be modest, as he notes that his aim is to highlight that the service conception is inadequate because the legitimacy of political authorities has also a procedural dimension. Hershowitz (n 134) 218.

3.2. Political Trust as an Additional and Non-Instrumental Grounds of Legitimacy for Domestic Authorities

Recall that Raz presents us with an instrumental and functional account of legitimacy, according to which an authority is legitimate as long as it succeeds in helping individuals to better reach their objective reasons. Yet, his conception of authority is general in the sense that it is applicable to any sort of practical authority, many of which are quite dissimilar to the authority of states. For once, we have many practical authorities in our life such as doctors, tango teachers, financial experts, all of which help us to better reach our objectives by providing us authoritative guidance, provided that we already have a decision to benefit from their services. But we are mostly subject to the authority of only one state. States are further distinctive because their claim to legitimate authority is comprehensive in the sense that they are committed to govern any aspect of our life. Though it is a species of practical authority, it is different from them mostly because of its non-voluntary and comprehensive nature. We find ourselves living in a political community to which many of us do not give an explicit or implicit consent. Let us call the sort of authority enjoyed by states political authority.

Raz makes it clear that political authorities are distinctive because they are capable of supporting their instrumental legitimacy with non-instrumental reasons¹⁴². They are not only instrumental in shaping individual behaviors and guiding them to right reasons, they play also a role in partly constituting a political community by serving as "an object for identification"¹⁴³. In other words, because political authorities are capable of becoming an object for identification and play "an important role in people's sense of who they are"¹⁴⁴, Raz argues that law may also be seen as "the authoritative voice of a political community"¹⁴⁵. This is why he warns against overlooking this non-instrumental dimension of political authorities by noting:

"Given the importance of political communities in the life of their members, an ability to identify with one's political community is, within the framework of the considerations I

¹⁴² He notes, for instance: "An obligation to obey which is part of a duty of loyalty to the community is a semi-voluntary obligation, because one has no moral duty to identify with this community. It is founded on non-instrumental considerations, for it constitutes an attitude of belonging which has intrinsic value, if addressed to an appropriate object" Raz (n 135) 364. For his distinction between outcome and action reasons. J Raz, *The Morality of Freedom*, (OUP, 1986) 145-146.

¹⁴³ J Raz, *Between Authority and Interpretation* (OUP, 2009) 106. Habermas similarly writes that citizens "have an interest in preserving and improving the respective national forms of life with which they identify and for which they feel themselves responsible" and warns against neglecting "the fund of trust accumulated in the domestic sphere and the associated loyalty of citizens to their respective nations". Habermas (n 25) 449.

¹⁴⁴ Raz (n 143) 106.

¹⁴⁵ Ibid 99.

mentioned, intrinsically valuable. Any account of the law which disregards that aspect of it is incomplete. Therefore, any account which adopts exclusively the instrumental approach is incomplete"¹⁴⁶.

First, the term instrumental approach denotes here the service conception of authority, developed to explain the legitimacy of political authorities in terms of their capacity to shape and mould effectively the behaviors of individuals ¹⁴⁷. Second, Raz may sound in the excerpt like he is talking about law in general, yet when the context in which he raises those points is taken into consideration, it becomes clear that his concern is political authorities, namely states. As such, even though Raz explains the authority of states primarily in terms of the services with which it provides individuals, he never closes the door for the non-instrumental grounds of legitimacy that states may develop. In a nutshell, states may ground their legitimacy in different reasons composed of instrumental and non-instrumental reasons, even though the latter's role is secondary in comparison to the former ¹⁴⁸.

The non-instrumental reasons are couched in different terms by Raz, including trust, loyalty, solidarity, and self-identification¹⁴⁹. This cluster of terms, though may seem disconnected from each other, acquires a special meaning in Raz's own theory. They are the properties that a political authority may possess or generate when they are in good shape and meet certain principles when governing. In other words, they are not essential to all political authorities, even though each political authority is capable of awakening those feelings. If we begin with self-identification, Raz argues that individuals may identify themselves with their political communities when they believe that their political community is worthy of respect because it functions well and provides individuals with different opportunities as to how to live their lives¹⁵⁰. Thus, a political authority may be an object for self-identification for those

¹⁴⁶ Ibid 106.

¹⁴⁷ Ibid 104.

¹⁴⁸ The secondary role assigned to consent and democracy is the main point of criticism levelled against the service conception by those who defend a procedural account of legitimacy. Hershowitz, for instance, argues that though we acknowledge that consent is neither necessary nor sufficient to constitute authority, it is "often ineliminable part of the story of why one person is subject to the authority of another" S. Hershowitz (2011) The Role of Authority, p. 15-16. See also, Roughan (n 28) 120.

¹⁴⁹ J Raz, 'Government by consent' (1987) 29 *NOMOS: Am. Soc'y Pol. Legal Phil*, 76, p.92. Habermas similarly underlines the importance of preserving "the fund of trust accumulated in the domestic sphere and the associated loyalty of citizens to their respective nations" because citizens "have an interest in preserving and improving the respective national forms of life with which they identify and for which they feel themselves responsible". Habermas (n 25) 449.

¹⁵⁰ Raz uses states and political community interchangeably because he believes that the authoritativeness of legal rules "is intertwined with their being part of a political community" and thereby that the "law and the state are mutually dependent; they partly constitute each other". Raz (n 143) 101.

individuals who consider it legitimate, when it garners their trust by operating in a "morally decent" way and allow individuals opportunity of being full members of a community ¹⁵¹. When that is the case, individuals develop a distinctive attitude of respect for law, namely respect towards their political authorities ¹⁵², which we may call loyalty. I call it loyalty because individuals who trust in their own governments that function well feel themselves under an obligation to obey its laws, even though Raz clearly denies that there is a general obligation to obey the law ¹⁵³. However, this obligation is optional because it holds for only those individuals who express their consent tacitly or explicitly to their governments. What is more, because some individuals take the political authority as an object for identification, they probably regard its laws as their laws. When it comes to how solidarity fits into this picture, we may benefit from Raz's idea that trust is in fact directed to political community, even though it is embodied in the political institutions ¹⁵⁴. In other words, solidarity indicates the level of burdens that individuals are willing to incur for others with whom they are partaking in the same political community.

No need to say that not all political authorities are worthy of respect. There is nonetheless no need to deny the potential that they have for generating those feelings. This is why Raz underscores that the intrinsic value of identifying oneself with a political community is a potential value 155 whose realization depend on the content of law, as well as how authority functions. We may here invoke Raz's argument that consent is better to be though not as a condition for legitimacy of an authority, but as an output likely to emerge when authority is already perceived as legitimate. Accordingly, consent given to a political authority is an output that manifest the feelings of trust and confidence placed on political authorities by some of its subjects 156. From here it follows that trust is not a feature essential to political authorities. Put

¹⁵¹ One crucial non-instrumental aspect of the law derives from the fact that full membership of political communities, i.e., membership which enables the member to identify with the community is—assuming the communities themselves are morally decent—intrinsically good. Ibid.106.

¹⁵² Raz explains the respect for law as follows: "It is a belief that one is under an obligation to obey because the law is one's law, and the law of one's country. Obeying it is a way of expressing confidence and trust in its justice. As such, it expresses one's identification with the community. Respect for law does not derive from consent. It grows, as friendships do; it develops, as does one's sense of membership in a community. Nevertheless, respect for law grounds a quasi-voluntary obligation." Raz (n 135) 354.

¹⁵³ J Raz, The Authority of Law, (OUP, 1979) 250. "His respect is the source of this obligation" Ibid 260.

¹⁵⁴ For Raz, respect for law is a manifestation of loyalty shown to society and the community of which individuals are part. It represents itself in the institutionalized dimension of society. Ibid 260-261, Raz (n 135) 368-369. Raz (n 142) 91. For the sake of brevity, I find no amiss in dismissing this dimension of respect for law.

¹⁵⁵ "First, I am discussing, here and throughout, not the value the law has but the value it can have. Whether the law of any political community has value depends on its content, and the circumstances of the community". Raz (n 143) 103.

¹⁵⁶ Raz (n 135) 366-369.

differently, it is not in the nature of political authorities that they are to be *trustworthy* even though they are *entrusted* with the task of providing individuals with some services¹⁵⁷. So, the initial phase of the authority-subject relationship is not based on the fact that individuals trust public authorities¹⁵⁸. Hence, trust is something to be deserved by a political authority, and thereby contingent on the fact that it is in morally decent form in the sense that it fulfils its instrumental function in a morally acceptable way.

The foregoing explanations draw a subtle, and maybe opaque, explanation how a political authority may be an object for identification and how it can generate the feeling of trust on the part of its subjects. Yet, I believe it suffices to reveal the distinctive role to be played by states in our lives: they are capable of being an object for self-identification. When a legal system is thought of as part of a political system to which individuals may develop a distinctive attitude of respect, we may also see how they may extend their legitimacy grounds to noninstrumental reasons. Trusting a government, which finds its most clear expression in the consent given to it, mirrors in fact the attitude one adopts towards his own political community¹⁵⁹. And this is clear in Raz's following remarks: "our perception of ourselves, of who we are, depends among other things on our ability to identify with communities we live in, on our ability to belong to these communities in the full sense of the word" 160. When one is living in a well-functioning and morally decent political system and community, Raz holds, he may enjoy also benefits of becoming a full member of this community. What is referred to here by Raz with the term full membership goes beyond the notion of legal citizenship, as it stands for the fact that individuals "regard themselves as fully belonging to the political community, and similar to regard its law as their law, and its government as their government" 161. There is a lot to be explained about what the conditions of respect for law and being a full member of a

¹⁵⁷ "Some conceptions of legitimate authority make trust a condition of legitimacy. This seems to be unjustified" J Raz, Liberty and Trust, in R George (ed.) *Natural Law, Liberalism, and Morality: Contemporary Essays* 123 (OUP, 2001).

¹⁵⁸ See for the distinction between trusting and entrusting and why authority-subject relationship is not in its initial phase grounded in trust; GJ Postema, 'Fidelity, Accountability and Trust: Tensions at the Heart of the Rule of Law', in T Bustamante and T L Decat (eds.) *Philosophy of Law as an Integral Part of Philosophy: Essays on the Jurisprudence of Gerald J Postema*, 33,39 (Hart Publishing, 2020).

¹⁵⁹ "Undertaking an obligation to obey the law is an appropriate means of expressing identification with society, because it is a form of supporting social institutions, because it conveys a willingness to share in the common ways established in that society as expressed by its institutions, and because it expresses confidence in the reasonableness and good judgment of the government through one's willingness to take it on trust, as it were, that the law is just and that it should be complied with" Raz (n 142) 92.

¹⁶⁰ Raz (n 143) 103.

¹⁶¹ Raz (n 157) 124.

political community are ¹⁶², and what it requires for being a trustworthy authority. Nevertheless, I believe that the foregoing explanations suffice to support my argument that political authorities, namely the authority of states, are capable of extending their legitimacy claims to non-instrumental grounds. For they are objects for self-identification when the relevant conditions are satisfied, and they enable the conditions of full membership.

One question left above unaddressed is the following: Are there any other authorities, such as international authorities, capable of generating feeling of trust, understood as the attitude that one develops towards his own political community? Before giving an answer to this question, it is necessary to underscore that Raz uses the concept of trust in a rather distinctive manner than as it is conceptualized in the literature about trust. For Raz, trust manifests a feeling of loyalty on the part of subjects, which is supposed to generate subsequently an obligation to obey the trustworthy political authority. For this reason, he mostly converges the notions like trust, loyalty, and self-identification together. I will call it political conception of trust to underline the fact that it requires a political community. The literature on trust takes it mainly to consist in two different components: the authority is believed to i) be competent to provide individuals with services and ii) have goodwill in the sense that it is believed that it will act in the interests of individuals. When seen from this broader perspective, I find it reasonable that international authorities, as with many other practical authorities, may engender trust, provided that they show how competent they are through their past performances and how they observe the interests of individuals. Yet, when trust is understood in its political conception, as Raz puts it, which denotes the sort of attitude shown towards to authority as an object of identification, I think it seems harder to defend the foregoing argument. Trust seems to require in this narrower sense a political community in which individuals enjoy full-membership and consider the state and its law as their law 163. This is the reason why I take the legitimacy of international authorities monolithic, suggesting that they base their legitimacy mainly on the service conception and its instrumental justification.

¹⁶² The root of Raz's argument about the intrinsic value of full-membership may be found in his perfectionist moral theory in which he grounds the well-being of an individual not only in individual but also in social and community-related conditions. Some explanations in this regard may be found in Ibid 125-126, also in his explanations about the value of collective right to self-determination Raz (n 135) 125-145 (co-authored with Avishai Margalit)
¹⁶³ "compared to a state, a global governance institution usually by deinition faces greater cultural pluralism and

¹⁶³ "compared to a state, a global governance institution usually by deinition faces greater cultural plur- alism and people do not identify with it in the way that they identify with their states and nations". J H Schaffer, 'Legitimacy, Global Governance and Human Rights Institutions: Inverting the Puzzle' in Follesdal et al (n 31) 212 at 213. Besson similarly writes that "international law can only constrain states and individuals materially in a legitimate fashion if it also constitutes them formally as a political community of communities", as the constitutionalization of international law carries also the potential of domination and empirialism S Besson, 'Whose constitution(s)? International Law, Constitutionalization, and Democracy' in Dunoff and Trachtman (n 49) 406.

A further explanation is needed concerning the connection between the principles of the rule of law (RoL) and legitimate authority and how the former may contribute to generating trust. Since my approach aligns mostly with legal positivism, I regard the RoL as a moral principle intrinsic to law, designed to guide legal institutions that operate within a legal system. When a legal system is conceived of as a political authority, we may easily conclude that when it observes the principles of the RoL, it is more likely that it is perceived by individuals as wellfunctioning and even legitimate. Even though Raz does not clearly deal with how the RoL relates to legitimate authority, some of his disciples like Tasioulas assert that the principles of the rule of law is intrinsic to the concept of political authority 164. Buchanan holds similarly the view that the RoL plays a crucial role for the legitimacy of political authorities, even though he warns against making the mistake of thinking that mere legality confers legitimacy¹⁶⁵. The RoL is, therefore, endemic to political authorities, simply because they are institutional and normative systems, as opposed to many practical authorities. For instance, we do not expect from a Pope (religious authority) or father (parental authority) to observe the principles of the RoL, even though they may feel themselves obliged to some principles associated with their distinctive role. This is why political authorities do lay claim to observing the principles of the RoL in addition to their claim to legitimacy. We may infer the same conclusion from Tasioulas' following explanations:

"There is a broad category of reasons bearing on the NJC that are formal or procedural in nature, many of which are captured by the familiar requirements of the Rule of Law: laws must be clear, publicly accessible, stable, non-retrospective in content and application, and official behaviour must be congruent with pre-existing legal norms. All these requirements reflect the idea that those subject to the law should be able to identify the law and conform with it. Other procedural norms include requirements of transparency, responsiveness, and even democratic accountability in law-making." ¹⁶⁶.

Even though I am not sure whether all those examples given by Tasioulas is apt to be considered as part of the service conception of authority, I am quite sure that political authorities

¹⁶⁴ Tasioulas (n 124) 115.

¹⁶⁵ A. Buchanan, 'Institutional Legitimacy' in D. Sobel et al (eds.) *Oxford Studies in Political Philosophy Volume* 4, 74 (OUP, 2018).

¹⁶⁶ Tasioulas (n 124) 115. For a similar argument based on the view that certain procedural standards are of constitutive importance for the legitimacy of an authority even though how demanding they are depend on further factors such as the density of political power enjoyed and the ensuing risks it generates, see A. Scherz, 'Tying Legitimacy to political power: Graded legitimacy standards for international institutions' (2021) 20 European Journal of Political Theory 4, 631-653.

lay claim to govern lawfully by observing the principles of the RoL¹⁶⁷, no matter how they are conceptualized and instantiated. As regards the question of whether there is a distinction in this respect between domestic and international authorities, I find no reason to concede that both lays claim to governing lawfully ¹⁶⁸. One may rightly raise the objection that not all international authorities are equally institutionalized, and some differ significantly from domestic authorities in this respect. Yet, I am afraid this may digress us from our investigation towards the essential properties of a legal system and the role that the RoL supposed to play in a legal system. Hence, I will accept that both domestic and international authorities lay claim to governing lawfully by observing the principles of the RoL, even though how its principles are instantiated may differ to a certain extent 169. When an authority observes those principles, we may say that it may engender feeling of trust on part of its subjects, yet it is hard to say that the RoL is sufficient to generate the sort of trust in which we are interested, i.e., political trust. Political trust needs developing some assessment mechanism like democratic elections though which individuals may participate in the activity of governing themselves, as well as, changing the incumbent authority when they are dissatisfied with their services, which will be touched upon in the next section¹⁷⁰.

3.3. States are Different Because They Claim to Comprehensive Authority

The foregoing section laid the foundations for the distinction between domestic and international authorities by explaining what makes a political authority different from a generic concept of practical authority. It has underlined that domestic authorities are capable of generating trust, understood narrowly in its identification conception, which requires an underlying political community. International authorities are thus accepted as incapable of generating trust, which may serve as an additional and non-instrumental source of legitimacy, simply because they are not, at least so far, backed by a political community. Lastly, it has also underscored that both domestic and international authorities are expected to observe the principles of the RoL, which arises from their institutional nature, as well as the very logic of

¹⁶⁷ "The rule of law, as I will understand it, is a specific virtue or ideal that the law should conform to" J Raz, 'The Law's Own Virtue' (2019) 39 Oxford Journal of Legal Studies 1, 1, 1.

¹⁶⁸ The RoL "applies not only to the law of states, but also to the law of, say, voluntary associations. Their law, the law of associations, is also meant to serve some common good, and should not be arbitrary or self-serving". Ibid 13.

¹⁶⁹ The principles of the RoL "allow considerable room for flexibility and adaptability" to such an extent that it "is mediated by conventions" and "gives plenty of room for adaptability to local traditions" Ibid 12-13.

¹⁷⁰ This connection is also clear in Raz's following article, Raz (n 132).

the service conception of authority. Yet it left unaddressed one of the crucial distinctions between domestic and international authorities: their claim to legitimate authority.

Even before Raz conceded that the service conception applies also to international organizations¹⁷¹, international lawyers had already explored its potential for international authorities¹⁷². Nevertheless, several problems surface when an investigation into the legitimacy of international law is set out. What Tasioulas calls the problem of "domain fragmentation" arises when multiple authorities do concurrently lay claim to legitimacy, i.e., "a legal system's claims of legitimacy are justified in some domains but not in others"¹⁷³. It begs the question of how to reconcile an authority's claim to comprehensive and unlimited authority with the fragmented reality of international authorities. Unlike DLOs where authority is comprehensive and unlimited, international law exerts its rulings over states and individuals in a sectorally fragmented manner. That poses a problem for authority and forces us to reflect on the notions like limited or relative authority.

In addressing the problem of domain fragmentation, Roughan suggests conceiving those *claims* as relative rather than absolute and unlimited when individuals are confronted with multiple authority claims originating from different legal orders¹⁷⁴. Put differently, the claimed legitimate authority "is relative to that of ... other competing and overlapping international regimes, rules or institutions"¹⁷⁵. At first sight, Raz seems to support this argument in his recent article "Why the State?", when he concedes that authority holds 'extensive responsibility within its own domain'. So, it seems that authority's legitimacy is not unlimited but "relative to a domain"¹⁷⁶. For this reason, the scope of authority, Raz submits, "may be *extensive*, for example, the population of China, or all corporations throughout the world, or rather *small*, for example, the population of Lichtenstein or the fencing clubs of Riverdale, NY"¹⁷⁷. To put it

¹⁷¹ Raz (n 43) 161.

¹⁷² See, e.g., S Besson, 'The Authority of International Law: Lifting the State Veil' (2009) 31 *Sydney Law Review* 3, 343; Tasioulas (n 124) (denying the contention that a weaker conception of legitimacy bespoke to international law is to be developed). For a weaker conception of legitimacy in which authority's right to rule is substituted by a bundle of rights including the right to expect support and non-interference see, A Buchanan, 'The Legitimacy of International Law' in Besson and Tasioulas (n 124) 79-96. See for an application of the service conception to the international courts, A Follesdal, 'The Legitimate Authority of International Courts and Its Limits: A Challenge to Raz's Service Conception?', in P Capps and HP Olsen (eds.) *Legal Authority Beyond the State* (Cambridge University Press, 2018) 188-205.

¹⁷³ Tasioulas (n 124) 102.

¹⁷⁴ Roughan (n 28)

¹⁷⁵ N Roughan, 'Mind The Gaps: Authority and Legality in International Law' (2016) 27 *The European Journal of International Law* 2, 329, 340.

¹⁷⁶ Raz (n 43) 145.

¹⁷⁷ Ibid.

bluntly, an authority's power to rule is not unlimited. Rather, it is *relative to* a specific domain and limited to 'certain circumstances or for certain purposes' ¹⁷⁸. However, the foregoing explanations about "comprehensive authority within a domain" fail to dispel the air of uncertainty surrounding whether comprehensiveness and relative authority are compatible ¹⁷⁹. That is so particularly when Roughan's following remarks is taken into consideration:

"a claim to relative authority is different from a claim to reduced authority; rather, is a claim that acknowledges the conditionality of one's authority upon appropriate interaction with others" 180.

I concur with Roughan on many score and find her theory of relative authority groundbreaking. Yet, I am not quite sure whether her argument that political authorities lay claim to relative authority mirrors the practices of state and what we mean when we are talking about its authority. In other words, it does not necessarily follow that the claim made by authorities are relative from the fact that authorities should be "committed to pursuing the appropriate relationships with other authorities" ¹⁸¹. This obligation, for instance, may emanate from the service conception itself. One may easily argue, say, that if ensuring coordination is one of the essential services for which authority is responsible, then authorities should be responsive to each other lest they undermine the services with which they provide individuals. Simply, there seems to be an argumentative gap between the sort of obligations or responsibilities that arise from the circumstance of pluralism and the nature of authority and its claims.

Further, we may admit that today's legal space is interlegal¹⁸², occupied by overlapping legitimacy claims raised by different authorities. And we may also count how it interacts with other authorities as an independent factor in the legitimacy assessment of authority¹⁸³. Yet, we are still confronted with the following question: Is there something distinctive to domestic authorities or should we consider them on equal footing with international authorities? In other words, admitting that authority is relative provides us with no guidance when international and

¹⁷⁸ Ibid.

¹⁷⁹ Cf, S Shapiro, Legality (Harvard University Press, 2011) 219-23.

¹⁸⁰ Roughan, (n 28) 158.

¹⁸¹ Ibid 158.

¹⁸² Klabbers and Palombella (n 41)

¹⁸³ According to Roughan's relativity condition, authorities, irrespective of their relationship with other authorities, "are required to engage one another in such a way that supports their legitimacy *as authorities* over subjects" N Roughan, 'The relativity of political authority: Overlapping claims and shared subjects beyond the state' (2020) 27 *Constellations* 4, 702, 709.

domestic authorities rely on different *kinds* of legitimacy. It does not follow from the decline of domestic sovereignty that states will lose their paradigmatic place. Nor does it entail that there is nothing distinctive to them and their claim to legitimacy. Hence, we must explore what differentiates domestic authorities from their international counterparts and explore whether the legitimacy *claims* made by international and domestic authorities are of the same *kind*.

3.4. The Transformation of the Legitimacy Structure

It does not necessarily follow from the limited state that domestic authorities should abandon their claim to comprehensive authority. They may still hold their claim to comprehensive authority even when they are living together with other authorities. In other words, there is a distinction between the claim to legitimate authority and its realization ¹⁸⁴. And there is no need for domestic authorities to give up their claim to comprehensive authority even if they make peace with the concept of limited sovereignty. More clearly, it is dubious whether it is justified for domestic authorities to abandon their claim to comprehensive authority, particularly when no other institution has the capacity to serve as "a general ends entity" and seems ready for assuming the responsibility for the whole ¹⁸⁵. International authorities, operating in functionally delineated domains, is likely to remain content with a claim to relative authority unless they are brought together under the banner of an absolute world government. Thus, it is misleading to think of their claim to authority as comprehensive. One may challenge this assumption, suggesting that using authority within a limited sector is not incongruent with a claim to comprehensive authority. Even though this challenge brings up further questions, I will not delve into further detail and consider comprehensiveness as the ability to regulate the life of individuals in a holistic way by finding a balance between conflicting interests, rights, and expectations. Because international authorities carry out their authority in a segment of international law, their claim to authority, therefore, is better to be classified as relative than comprehensive authority.

Under normal conditions, an authority should be apt to realize its aspirations and claims, and thereby remain potentially fit for realizing its claim to authority. This implies for a domestic authority that it should be apt to fulfill its true potential and capable of living up to its claim of

¹⁸⁴ "The government may have only some of the of the authority it claims, it may have more authority over one person than over another" Raz (n 142) 74.

¹⁸⁵ G Palombella, 'Theory, Realities and Promises of Inter-legality: A Manifesto' in Klabbers and Palombella (n 41) 369.

comprehensive authority¹⁸⁶. If we applied the similar logic to international authorities, it goes without saying that they should also be apt to realize their claim to relative authority. The SC brings with it a pluralist situation where authorities whose claims to authority are not uniform live together. If the current trend towards globalization continues, it seems that international authorities will increase in the future their de facto authority at the expense of domestic authorities¹⁸⁷. Taking this projection as a point of departure, I would like to raise the following question: What would be the possible impacts of increasing globalization on this legitimacy structure? Addressing this question needs embarking on what Raz calls speculative analytical jurisprudence, i.e., "to evaluate some of the dominant trends in analytical jurisprudence in light of likely developments"¹⁸⁸.

Even though it is already admitted by Raz that there is always a gap between what an authority claims and what it possesses, the SC seems to put further pressure on this gap and reduce significantly the likelihood of domestic authorities to fulfill their claim to comprehensive authority. Domestic authorities appear less likely to exert their power in a comprehensive manner under the pressure of further globalization, for they are required to observe many restrictions imposed by international authorities. Most likely, their aspirations and claim to comprehensive authority remain likely to be unfulfilled. Since domestic authorities are, therefore, doomed to fail in their ambition for comprehensive authority under the circumstances of globalization, we may label them literally *authority manqué*. International authorities operating within a functionally delineated domain, however, are not subject to the same challenge. As they do necessarily lay claim to relative authority, they are fit for what they are claiming to achieve. For the authorities whose claim to authority is sincere and straightforward, I will use the term *authority genuine*.

As the pluralist model brings different authority claims together, it poses many challenges to our traditional authority model. One of them is the disharmony between the domestic authority's claim and the extent to which its realization. The disharmony arises from the simple fact that the primary responsibility for advancing individuals' living conditions and

¹⁸⁶ "If the claim to authority is part of the nature of law, then whatever else the law is it must be capable of possessing authority" Raz, (n 135) 215. For a similar distinction between the different claims made by functionally comprehensive and functionally specific authorities see, Lynn Dobson, 'Legitimacy, Institutional Power, and International Human Rights Institutions: A Conceptual Inquiry' in Follesdal et al (n 31) 190, at 207-210.

¹⁸⁷ Drawing on the examples of the WTO, the UN Security Council, and regional organizations like the EU, Raz underlines a trend in international authorities towards developing features like "difficulty of exit, and autonomous legal development, independent of assent of member states" Raz (n 43) 154. It is not an exaggeration to expect that we will encounter more international institutions similar to them.

¹⁸⁸ Ibid 161.

promoting basic services rests with states, despite the proliferation of international authorities that may erode the authority of the former. They are still "the most comprehensive legally based social organisation of the day" legitime. They are still "the most comprehensive legally based social organisation of the day" legitime. Recall passing day renders it impossible for states to "regulate the totality of governance in a comprehensive way" legit that states are capable of extending their legitimecy grounds by awakening feelings like solidarity, trust, and loyalty whey operate in an efficient and morally decent manner. The erosion of domestic authority whose claim to legitimecy is comprehensive may occasion a legitimecy deficit whose elimination by international authorities is highly questionable, at least under the neoliberal SC. That arises from the very fact that international authorities expected to complement the state's authority do not enjoy the same *kind* of legitimecy that they are eroding at the domestic level level level on the comprehensiveness of any authority) towards a more pluralist model where neither state nor international authorities do enjoy comprehensive authority.

3.5. The Independence Condition and the Limits of the Neoliberal SC

Let us recall the two conditions that lead to the paradox of global constitutionalism. First, the SC is the only feasible and promising alternative given that we are caught up in the middle between a domestic and global state/sovereign. International law, in its current form of SC, may help states solve collective action problems and provide many services, yet it fails to bridge the legitimacy gap caused by the erosion of the non-instrumental legitimacy grounds that domestic authorities are likely to enjoy. The most important question to be addressed under these circumstances is the following: Is there something distinctive to states that render their legitimacy special in comparison to their international counterpart? In addressing this question, we may benefit from Raz's independence condition, which sets the outer boundaries beyond which instrumental justification of authority does not hold. So, it emphasizes that some cases escape the instrumental logic of the service conception and demands additional justification. The argument that lies at its core is the following: When it is less important for an individual to act according to the right reason (the service conception) than to decide for oneself how to act,

¹⁸⁹ Ibid 137.

¹⁹⁰ Peters (n 12) 580.

¹⁹¹ This has further implications when the service conception is read together with Raz's perfectionist approach to liberalism. For a study dealing with the problem of whether incomprehensive authorities operating beyond nation-states meet the demands of Raz's perfectionist approach to liberalism. M Sevel, 'Perfectionist Liberalism and the Legitimacy of International Law' in Sadurski et.al (n 39) 206-222. Buchanan and Powell (n 50) also underlines that "the piecemeal, incremental development of increasingly robust international law ... is highly problematic from the standpoint of the values that underlie constitutional democracy" 329-330.

the authority should give way to individual autonomy¹⁹². Seen in this light, it makes the justification of authority through the service conception conditional on, first, it being compatible with the exceptions that fall under the scope of independence condition.

Raz presents three different cases to which the independence condition applies. The first case is concerned with parental authority when children's decision-making capacity is still developing. It underscores that authority should let children develop their decision-making capacity and autonomy by granting a certain margin of error. So, the authority should retreat and open space for them until they flourish and develop their individual autonomy. I will call it the individual autonomy exception. The second case consists of a comparison between having recourse to authority for technical (pharmaceuticals) and non-technical issues (marriage) and emphasizes why individuals are less justified to benefit from an authority in the latter than the former. I will call it essential services exception ¹⁹³. Third, Raz warns against the danger of cognitive laziness, noting that: "We are not fully ourselves if too many of our decisions are not taken by us, but by agents, automata, or superiors" 194. The third case underlines how important it is to keep an individual's practical reasoning and decision-making capacity in good shape. Overwhelmed by the pressure of increasing demand for high-speed decision-making, individuals are more likely to use authorities and delegate their decision-making competence. As it may be anticipated, when individuals hand their decision-making responsibility over to authorities, this may have deleterious effects on their individual autonomy and practical reasoning capacity in the long run. In particular, the overuse of authority comes with a price and jeopardizes individual autonomy after a certain point. I will briefly call it the authority dependency exception. In sum, the three exceptional cases that allow us to derogate from the rule of instrumental justification of authority are as follows: i) individual autonomy exception, ii) essential services exception, and iii) authority dependency exception.

¹⁹² J Raz, 'The Problem of Authority: Revisiting the Service Conception' (2006) 90 *Minn. L. Rev.* 1003, 1014. Follesdal draws attention to the cases where international authorities may prevent states from acting on other reasons Follesdal (n 172) 197-8. Habermas also limits the role of supranational world organization to ensuring security, order, and freedom, Habermas (n 25) 445. Buchanan and Powell (n 50) introduce what they call metaconstitutional principle, according to which the cumulative impact of international authorities on DLOs amounts to a domestic constitutional chance, it asks for "public constitutional deliberation and popular choice". 347.

¹⁹³ "The former case for self-reliance (parents and children) is instrumental where the end is to secure what conformity with reason will, in the long run, secure; the latter case (marriage) depends on the fact that there are reasons that can only be satisfied by independent action" Raz (n 192) 1016.

¹⁹⁴ Ibid 1016. A similar argument presented by Viehoff highlights the difference between the legitimate use of authoritative power and manipulation where power is used to create or enhance the dependency of subjects to authorities. Viehoff (n 123) 121-122.

4. Authority Dependency Exception and Weakening of Domestic Democracies

In relocating the independence condition to the international domain, a couple of caveats is needed: First, what I mean with international authority is the authority exercised by international law in its neoliberal form of SC. For this reason, my argument is modest and limited to the current form of SC and is open to reevaluation when circumstances change. However, I believe it has some further implications for the SC regardless of its particular instantiation and content. The argument, for instance, that the overuse of international authority may enfeeble domestic authorities seems applicable to any form of the SC, even to the Anthropocene SC, even though the likelihood that the latter makes up the legitimacy deficit appears to be higher than the neoliberal SC.

Second, states are to be treated as the legitimate representatives of their citizens, at least for the sake of this article¹⁹⁵. By doing so, we may draw an analogy between individual autonomy and DLO's regulatory autonomy¹⁹⁶. First, the independence conditions function as a bulwark against the instrumental justification of international authorities and provide a non-instrumental reason for domestic regulatory autonomy. As regards the first (individual autonomy) exception, since all states are accepted as equal with full personhood under international law¹⁹⁷, the individual autonomy exception has no relevance for the international domain. However, that is a rebuttable presumption open to further consideration in case compelling evidence is presented. In any case, the other two exceptions seem quite relevant. At their core sits the idea that states are entitled to regulate the life of their citizens without undue external interference in their regulatory domain¹⁹⁸.

Let us begin with the authority dependency exception. Endicott affirms that "if the state is subject to *too much* regulation by international law and to too much external constraint" it risks

¹⁹⁵ For an analysis that focuses on the complex relationship between autonomy of states with respect to international authorities and autonomy of individuals with respect to domestic authorities from the perspective of independence condition see, S Besson, 'The Legitimate Authority of International Human Rights: On the Reciprocal Legitimation of Domestic and International Human Rights' in A Follesdal et.al (eds.) The Legitimacy of International Human Rights Regimes (Cambridge University Press, 2013) 71-75.

¹⁹⁶ T Endicott, 'The Logic of Freedom and Power' in Besson and Tasioulas (n 124) 245-59. Raz also draws a similar analogy, Raz (n 43) 158-159.

¹⁹⁷ Ibid 254. For a similar analysis based on the idea of freedom see, Tasioulas (n 124) 112-5. "...collective self-determination has value only in so far as it serves the interests of those individuals. Moreover, I leave aside the thorny question of whether such collective self-determination is only intrinsically valuable in the case of democratic states" Ibid 113.

¹⁹⁸ Endicott (n 196) 254.

losing its legitimacy¹⁹⁹. In other words, overusing authority through excessive legalization of international law may weaken domestic authorities. The point is clarified by Endicott with his following remarks:

"rules of international law in general do not necessarily enhance or detract from sovereignty. States do, however, stand to lose their sovereignty (to a greater or lesser extent) if rules of international law or treaty obligations prevent them from exercising the freedom and power that they need in order to act justly and effectively as states. That can conceivably happen through illegitimate developments in international law (or even through trade treaties that make it impossible for a state to engage in just and effective labour market regulation or environmental regulation)"²⁰⁰.

From the foregoing, it follows that excessive legalization of international law may incapacitate domestic authorities and discourage them from making decisions for their own citizens. And that threatens the legitimacy of international and domestic authorities. It is clear, we need authorities. Yet that is not to say that we need too many authorities. Authorities, more than needed, may be detrimental to states²⁰¹. Authority-autonomy equilibrium is antithetical to both weak (international) authority and (domestic) autonomy. When domestic authorities are too strong, we have the problem of weak international authority. On the other, when international law is too strong and exerts undue influence on domestic authorities, we have the problem of weak domestic authority. When domestic authorities are strained under the excessive pressure of international authorities, they face a significant threat against their regulatory autonomy and *de facto* authority. Disproportionate reliance on the regulatory capacity of international authorities and their technocratic knowledge risks restricting domestic authority's autonomous decision-making capacity and endangers its relative independence from international authorities²⁰².

The preceding explanations appear to support the arguments introduced by the new constitutionalists and manifest the problematic aspects of the neoliberal SC from the perspective of legitimacy. Fraser, for instance, asserts that neoliberalism "is not self-sustaining, but free

¹⁹⁹ Ibid 252.

²⁰⁰ Ibid 259.

²⁰¹ As argued by Marmor in the rule of law context, "too much, even of a good thing" may be bad for us. A Marmor, 'The rule of law and its limits' (2004) 23 *Law & Phil* 1.

²⁰² Endicott (n 196) 254.

rides"²⁰³ on the non-economic structural conditions such as the legal and political structure that safeguards private property and secures international trade. At the core of her claims sits the idea that neoliberalism by its nature destroys the very structural conditions that make it possible. Hence neoliberalism, for Fraser, should be better depicted as cannibal capitalism. Forgetting the political, natural, and societal conditions on which it is founded, neoliberalism is set to "destabilize these very conditions of its possibility"²⁰⁴ and incapacitates its own basic structure. In our case, what is cannibalized and "butchered" is democracy and domestic political system²⁰⁵.

Literature is filled with similar commentaries that examine the political economy of the SC and reveals how it compels nation-states to abandon their welfare policies and adopt austerity measures²⁰⁶. They also point to a connection between technocracy, democratic backsliding, and the rise of populism. Rodrik, for instance, documents how advanced stages of globalization aggravate the pressure on domestic legal orders and "generate a base for populism"²⁰⁷. One key factor is the decay in distributive policies despite the intensified competition in the domestic labor market with globalization²⁰⁸. This may trigger a populist discourse against migrant workers simply because domestic workers may fear losing their job and feel threatened by the competition in the labor market²⁰⁹. Similarly, Afialo and Patterson underline how the rise of a "global middle class" with the globalization of the labor market has altered the conditions of domestic workers by crumbling economic and social securities and transformed the domestic middle class into a chronically excluded one²¹⁰ The bottom line recurrent in the foregoing is that hyperglobalization incentivize populism unless it compensates for the risk that it creates through redistributive policies or social and economic security schemes²¹¹.

²⁰³ N Fraser, Cannibal Capitalism: How our System is Devouring Democracy, Care, and the Planetand What We Can Do About It (Verso Books, 2022) 23.

²⁰⁴ Ibid.

²⁰⁵ Ibid 26.

²⁰⁶ S Sassen, 'Expanding the Analytical Domain: American Democracy and Its Predatory Economies' (2021) 66 *Amerikastudien* 1, 163-67.

²⁰⁷ Rodrik, 'Populism and the economics of globalization' (n 21) 13.

²⁰⁸ Sassen (n 206) 163-67.

²⁰⁹ Rodrik, 'Populism and the economics of globalization' (n 21) 24-7.

²¹⁰ Afilalo and Patterson (n 89) 350-1.

²¹¹ Ibid, 368, 372.

5. Essential Services Exception

As already underscored, it does not follow from the fact that service conception remains "the normal and primary way of justifying the legitimacy of an authority" that it entirely closes off any other way of justifying an authority²¹³. Raz admits the role played by non-instrumental reasons in shaping the way in which an authority acquires legitimacy, whose most prominent example is the trust that individual places on their own governments when they identify themselves as part of a political community and full member of a nation. He subscribes to the view that those secondary reasons are apt to make a positive contribution to the legitimacy of domestic authorities by lessening the burden of proof necessary to their instrumental justification 215. The essential services exception echoes a similar yet inversed logic because it seeks to protect the services endemic to the DLOs from the intervention of international authorities. It is based on a simple idea: "I should decide for myself, rather than be dictated to by authority" As such it puts limitations on the instrumental justification of authority.

5.1. The Right to Democratic Participation

What exactly are those non-instrumental values or reasons? It is hard to give a clear description of all the values embedded in the DLOs. Yet, we may follow the explanations made by Raz to excavate some of the basic values. First, Raz suggests that domestic authorities differ from their international counterparts in providing the following services: i) enabling individuals to enjoy their democratic right to participate in public affairs and ii) functioning as a forum in which people develop their own identity and respect value-pluralism²¹⁷. Put differently, those services are nonfungible and endemic to domestic authorities. First, international authorities are unable to meet the following service: a citizen's right to participate in democratic procedures and have a say in public affairs. Second, domestic authorities are distinctive because they lay claim to comprehensive authority and strive to regulate the life of individuals in a holistic manner by striking a balance between competing interests. As it is evident, that is associated

²¹² J Raz, 'Authority and Justification' (1985) 14 Philosophy & Public Affairs 1, 3, 20.

²¹³ S Darwall, *Morality, Authority, and Law: Essays in Second-Personal Ethics* I, (OUP, 2013), 143, 148, 149, 143, footnote 15.

²¹⁴ Raz (n 149).

²¹⁵ Raz (n 149), Raz (n 212) 20.

²¹⁶ Raz (n 192) 1015.

²¹⁷ Raz, (n 39) 79-80.

with domestic authority's claim to comprehensive authority and legitimacy, and its potential to provide an environment suitable for individuals to enjoy the benefits of becoming a full member of their community.

For instance, a state cannot abrogate its responsibility toward its citizens by raising an argument like the following: "I cannot make the necessary legal regulations to increase your living standards owing to the stringent international economic regulations that bind us"218. The reason why this sort of argument fails to serve as a justification for a state's failure to provide its citizens with basic services is simple: States are not on equal footing with international organizations in their claim to legitimate authority. Theirs is necessarily comprehensive because it provides individuals with some services unique to DLOs. States' claim to regulate the lives of its citizens in a comprehensive manner is essential to promoting and protecting the value-plurality existing in a community. And that is, therefore, closely connected with democracy and an individual's right to democratic participation. In contrast, international authorities are reluctant to go beyond their sectoral boundaries and find a balance between multiple stakes relevant to the case simply because of their claim to relative authority.

Raz enumerates four benefits that come with democracy: i) stability, ii) peaceful transition of power, iii) loyalty and iv) solidarity²¹⁹. Therefore, domestic democratic procedures deserve respect and protection because they are home to many feelings such as trust, solidarity, and loyalty, as well as being beneficial for developing a sense of identity ("defining one's own identity as a member of a nation or some other group")²²⁰. For now, those values find no home beyond nation-states, given the apparent failure of international authorities to garner the support of individuals and attract their loyalty²²¹. Even though some international authorities have devoted considerable effort to democratizing the way in which they are operating, it is hard to say that democracy beyond nation-state gained any currency. The EU, for instance, has

²¹⁸ Political space is filled with discussions revolving around this sort of questions and arguments. An anonymous reviewer pays my attention to the reaction of the current prime minister Rishi Sunak to the then PM's mini-budget proposal. Mr. Sunak says: "If we don't directly help those vulnerable groups, those on the lowest incomes, those pensioners, then it will be a moral failure of the Conservative government and I don't think the British people will forgive us for that." Available at: https://www.nationalworld.com/news/politics/rishi-sunak-former-chancellor-liz-truss-tax-cuts-tory-leadership-campaign-2022-3858806

²¹⁹ Raz (n 132) 17.

²²⁰ Raz (n 212) 20

²²¹ Raz (n 43) 162. "the global constitution must address the issue of trustworthiness. It does not suffice to show that particular independent bodies now pursue Pareto improvements. There must also be mechanisms in place to ensure citizens that these independent authorities can be trusted over time. In the domestic cases of independent agencies, central banks and courts are embedded so that they still largely operate in the shadow of democratic scrutiny and accountability" A Follesdal, 'When Common Interests Are Not Common: Why the Global Basic Structure Should be Democratic' (2009) 16 Indiana Journal of Global Legal Studies 2, 585, 603.

developed various mechanisms for individuals to use their right to democratic participation at the European level, but to no avail. One simple reason for the scarcity of democracy beyond nation-states relates to the fact that international authorities rest their legitimacy mainly on instrumental considerations²²². For this reason, we are faced with the following dilemma: Can international authorities preserve their legitimacy by merely grounding their legitimacy on instrumental grounds even when they avoid extending their legitimacy to "non-instrumental values"?²²³. They have so far carried on their activities in the limited areas where "people do not feel that they are making 'sacrifices'"²²⁴, yet it is dubious whether they can maintain their legitimacy in the future. Raz expresses his concerns about this trend by noting that:

"without loyalty and solidarity, ... even their (international organizations) instrumental success is in jeopardy. The problem affects all regional organizations, like the European Union, the African Union, and the United Nations. It also affects all human rights organizations. A revival of—not very attractive—nationalism embracing extensive state sovereignty is a real possibility"²²⁵.

To many, it may come as a surprise that Raz, as a legal philosopher detected the main reason why international law is confronted with a populist reaction. For Raz, the simple fact that international authorities assume the role of states without being like them poses a dilemma for the former²²⁶. What we are encountered here is an example of what I call the paradox of global constitutionalism. As may be recalled, the SC envisions a process in which international authorities vested with technocratic expertise exercise their authority within a functionally circumscribed domain. So, they must observe the relative domain they are operating in to preserve its instrumental legitimacy. Yet, they cannot generate feelings akin to trust and solidarity unless they go beyond their instrumental rationality, stretch their boundaries and extend their legitimacy to non-instrumental grounds. But when they go beyond their legitimate instrumental boundaries, they are prone to be charged with illegitimacy criticism simply because they are violating the legitimacy of another authority, namely domestic authorities. For this reason, the more they fail to garner the support of individuals and generate the feelings like loyalty, solidarity, and trust, the more they are disposed to put their instrumental legitimacy in

²²² Raz (n 39) 78-79.

²²³ Ibid 79.

²²⁴ Ibid.

²²⁵ Ibid 80.

²²⁶ Ibid 79. Weiler points to a similar problem when he is talking about the tragedy of democracy in the international legal order whose legitimacy is largely based on output legitimacy. Weiler (n 89) 561-562.

jeopardy. As international authorities rest their legitimacy mainly on instrumental grounds, they have problems generating feelings like trust and solidarity. They need, therefore, a creative jump to spawn those feelings and diversify their legitimacy grounds. Yet the game must be played under the threat of populist reaction simply because whenever they are stretching their boundaries, they probably face nationalist and populist resistance. What I call the legitimacy gap, caused by the different kinds of legitimacy international and domestic authorities enjoy, is one of the explanations for why we encounter populist resistance against international law.

5.2. Democracy-Legitimate Authority Cycle

The contribution of democracy to developing a sense of identity and solidarity among citizens, as well as between citizens and authority is stated above. A further key function of democracy is, Raz submits, to provide our political system with stability, regularity, and predictability. Contrary to the commonsense approach that pits democracy against constitutionalism and the Rule of law²²⁷, Raz associates democracy with stability. For him, democracy brings stability in the long term, even though it provokes public debates and discussions and set in motion a process of contestation. Democracy's contribution to stability is tied to solidarity, which "manifests itself most importantly in the degree to which they (individuals) are willing to make sacrifices or to suffer disadvantages for the sake of other members of their society"²²⁸. When solidarity is developed within a society, Raz argues, it has a positive impact on the health of a political system. Not surprisingly, the absence of solidarity is marked by democratic backsliding and political instabilities where individuals are exposed to "inner tensions and disintegrative tendencies"²²⁹. Following the line of reasoning, we may conclude that democracy and solidarity are closely connected, and both play a major role in the health and stability of a political system.

Many benefits that come with the (the rule of) law depend partially on the fact that "in democracies ... rulers don't have tenure" and are "in a continual competition with one another" However, it is yet to be explored how democracy may strengthen the stability of a political system and keep it healthy. To address this, I suggest exploring how the SC impairs

²²⁷ For a different critique of this traditional paradigm from a different perspective based on Habermas' idiosyncratic and original discourse theory, J Habermas, 'Constitutional Democracy: A Paradoxical Union of Contradictory Principles' (2001) 29 *Political Theory* 6, 766.

²²⁸ Raz (n 39) 74.

²²⁹ Ibid.

²³⁰ J Hampton, 'Democracy and the Rule of Law' (1994) 36 NOMOS: Am. Soc'y Pol. Legal Phil, 13, 34.

what I call the democracy-legitimate authority cycle, which suggests seeing democracy as an assessment mechanism through which individuals may measure the performance of their governments. If Raz is right in his contention that authority's legitimacy is contingent on its being a better service provider than its alternatives, then one cannot but help ask how we be sure that authority is really doing better than others. Namely, we need a mechanism to measure the authority's performance and change it in case of dissatisfaction. Not surprisingly, democracy helps us hit two birds with one stone, as it empowers individuals to change the government when they are dissatisfied with its services. Because democracy provides a mechanism for a peaceful transition of power²³¹, it not only contributes to the stability of the regime but also helps individuals measure the performance of their governments. So, the simple fact that individuals are endowed with the right to vote in regular elections transforms an unstable legal system ridden with the threat of political revolution into a stable political system armed with a permanent assessment mechanism²³².

As an assessment mechanism, democracy has further implications for the overall stability of a political system²³³. For example, it helps individuals keep authorities' services in good shape, prevent them from falling below a certain threshold and strengthen the overall stability of the political system in the long term. Seen in this light, it functions also as an enforcement mechanism that allows individuals to replace the old for the new government²³⁴. As sharply put by Jakab,

"the rule of law is itself a product of democratic rotation; if governing parties have no fear of being outvoted and finding themselves in opposition, they will inevitably be less and less inclined to respect the separation of powers, particularly judicial independence, and fundamental rights" ²³⁵.

When democracy is worn out under the pressure of authoritarian or populist regimes, it is evident that it destabilizes the democratic assessment mechanism. Tushnet, for instance,

²³¹ Ibid 32-33. He calls it "a form of controlled revolutionary activity" Ibid 34.

²³² Marmor also underlines the connection between democracy and accountability by noting: "Creating mechanisms requiring re-election in regular and reasonable intervals is one of the greatest achievements of democratic systems, rendering, indeed, the elected officials accountable, at least to those who get to vote on their re-election" Andrei Marmor (n 29) 14.

²³³ Raz (n 132) 17.

²³⁴ O Hathaway and SJ Shapiro, 'Outcasting: enforcement in domestic and international law' (2011) *Yale Lj* 121, 252.

²³⁵ A Jakab, 'What Can Constitutional Law Do against the Erosion of Democracy and the Rule of Law? On the Interconnectedness of the Protection of Democracy and the Rule of Law' (2020) 6 *Const. Stud.* 5, 23.

asserts that when one political party dominates a constitutional system for a long time, it is a high probability that the regime degenerates into a *rule by law* system²³⁶. Put differently, what keeps authorities reliable and consistent is to keep electoral competition between political parties in good shape²³⁷. Otherwise, it gets harder to appraise the performance of governments, keep authority in good shape, and hold them politically accountable²³⁸, as exemplified by authoritarian and populist countries.

Like authoritarian and populist regimes, the neoliberal SC has a corrosive impact on the democratic assessment mechanism, simply because it separates politics from the economy and reshapes our imagination of politics both at the international ²³⁹ and domestic levels. As already mentioned, neoliberalism narrows down the regulatory scope of DLOs by omitting many policies related to trade and economy from their regulatory scope. So, it implies that "the space for domestic politics and contestation over such issues is more or less closed" And apparently, the more the world is globalized, the higher will be the degree of internationalization and technocratization of law-making. And subsequently, many policies are going to be delegated to international authorities that operate at arm's length from domestic authorities. However, it is not always unwarranted to benefit from international and technocratic institutions, as it may sometimes be done for the sake of democracy. What I would like to stress is that the costs incurred by the neoliberal SC may overweight its benefits at some point. And one of the dangers that deserve significant attention is how it bears on the democracy-legitimate authority cycle.

If an authority's legitimacy is based on the services it is supposed to deliver, I find no amiss in claiming that the economy is one of the leading indicators of an authority's success in delivering services²⁴¹. Because the impact of economic policies on individuals' lives are immediate and substantive, they help individuals to make a rough assessment of how well the government performed in the last couple of years. Seen in this light, the new constitutionalist sounds right to claim that neoliberalism has impoverished public discourses simply because it

²³⁶ M Tushnet, 'Rule by Law or Rule of Law?' (2014) 22 Asia Pacific Law Review 2, 79-92.

²³⁷ Ibid 91.

²³⁸ "Electoral procedures are designed to accomplish accountability; they are means to an end, and the end is the appropriate kind of accountability of an authority that is morally called for" Marmor (n 29) 15.

²³⁹ Lang (n 61) 6. Hardin also argues that when economic policies are settled in favor of market's authority, citizens find themselves faced with less important yet fragmented and blurred policies, which make it harder for them to assess the performance of their governments. R Hardin, Government without trust (2013) 3 *Journal of Trust Research* 1, 32.

²⁴⁰ G De Burca, Is EU supranational governance a challenge to liberal constitutionalism, (2018) 85 *U. Chi. L. Rev.*, 337, 363.

²⁴¹ For a similar explanation see, Hardin (n 244).

debilitates the democratic assessment mechanism by simply identifying the economy with technocracy and un-democratic governance²⁴². In brief, the depoliticization of economic policies goes potentially hand in hand with the politicization of other issues outside economics. For the latter's relative importance for individuals is likely to increase when they are assessing the performance of the governments. Here, the main concern resides in the difficulty of measuring the performance of authorities after the transfer of a bunch of economic policies to international and technocratic institutions²⁴³.

The neoliberal SC has further implications for the democracy-legitimate authority cycle because of its dissimilar impact on developed and developing countries It puts the middle class under significant pressure and lays the foundation of a populist reaction to international and technocratic institutions in the developed countries. Its influence on developing countries is a bit nuanced. First, hyperglobalization results in the bourgeoning of the middle class in developing countries²⁴⁴. In other words, despite its distributional biases, hyperglobalization has brought with it many benefits such as improving the living conditions of lower classes and increasing the overall welfare in developing countries. This creates a perception on the side of individuals that the governments, even those which are authoritarian and populist, are doing well simply because of the benefits coming with hyperglobalization. In other words, the separation of economic from political liberalization allows authoritarian governments to reap the benefits of economic globalization without bearing the burden of political liberalization. China and Russia are exemplary cases in this regard. For instance, Matveev documents how economic growth and stability have always been foundational sources of legitimacy for Putin's Russia²⁴⁵. When the economy is cut off from its political roots, the political incumbents are given largely free rein to govern however it suits their interests with limited electoral control under weak democracies²⁴⁶. For this reason, populist and authoritarian leaders rarely call into question the rules of international economic law with which they find a way to accommodate their authoritarian and populist policies²⁴⁷. In sum, even though transferring economic policies

²⁴² Wilkinson (n 23) 535. For a similar argument see also, T W G Van Der Meer, Economic Performance and Political Trust, in E M Uslaner (ed.) *The Oxford Handbook of Social and Political* Trust (2017) 599

²⁴³ Sassen, (n 212) 165-166. Hardin (n 239).

²⁴⁴ Afilalo, and Patterson (n 89) 349

²⁴⁵ I Matveev, 'State, capital, and the transformation of the neoliberal policy paradigm in Putin's Russia' (2019) 45 *International Review of Modern Sociology*, 1, 29.

²⁴⁶ A similar argument raised by Hardin when he argues that it is "a corollary of letting the market run its course without massive government planning of economic growth and distribution that government escapes the burden of being judged for the success or failure of its economic planning" Hardin (n 239) 42.

²⁴⁷ Ginsburg underscores that today countries headed by populist or authoritarian leaders are integrated into the international economic system at least as much as their democratic counterparts. Ginsburg (n 14).

into the international realm may increase global trade and overall welfare, it may also weaken domestic democracies and the democracy-legitimate authority cycle due to its impact on the democratic assessment mechanism.

6. Conclusion

Because we are living under the circumstances of globalization where neither domestic nor international authority (sovereignty) is absolute, we need to reflect on the legitimate form of interaction between domestic and international authorities²⁴⁸ and question whether the latter intervenes legitimately in the former's regulatory domain. This was the task that Raz assigns legal philosopher, that is, to explore the concept of limited state and investigate "the relation of state law to other legal systems... the ways state integrate within the emerging international law",249.

Taking Raz's suggestion seriously, the paper approached this problem from the perspective of legitimacy and based its analysis on the statement that the SC of international law faces a legitimacy crisis. Russia's invasion of Ukraine and its violation of a norm on which liberal international legal order is erected is just one example of this legitimacy crisis of liberal international order. In unveiling the structural reasons informing the legitimacy crisis that international law face today, the article sought to explore how the neoliberal SC of international law destabilizes DLOs and creates a fertile ground for the flourishing of populism. It further underscored that the conclusion reached in this article may not be applicable to all forms of SC, for it all depends on whether they create a legitimacy deficit and whether they succeed in making it up. In other words, even though it is clear to me that the SC narrows down domestic authority's regulatory space, whether it vitiates the legitimacy-democratic authority cycle and fuels populism depends on the form of SC adopted.

Having said that, the article made the case that the paradoxical process of constitutionalization international law has undergone, owing to the lack of neither domestic nor global sovereign, is likely to create a legitimacy crisis mostly because of the distinctive sort of legitimacy grounds on which international and domestic authorities are founded. The SC's instrumental legitimacy has its own limitations, as made clear by the notion of the paradox of

²⁴⁸ Raz (n 39) p.71-76. ²⁴⁹ Raz (n 43) 161.

globalization, which argues that international law necessarily adopts a sectoral form of integration, and that it may create a legitimacy gap when international authorities fail to fill the legitimacy gap by engendering feelings like trust and solidarity. Even though the article relies frequently on the analysis and examples advanced by the new constitutionalists, I believe that its conclusions may cast light on the way in which any form of SC may fail to gain legitimacy. From the examination whether the neoliberal SC is legitimate we learn, for instance, that we must look at whether it vitiates domestic democracy-legitimate authority cycle, which may also stimulate populism.

In sum, international law remains caught between moving towards a global constitutional order through sectoral and functional integration and keeping its normative legitimacy intact. Yet, it seems to have a hard time continuing its functional integration without changing its legitimacy structure. We should remind ourselves of the famous phrase: "You cannot have your cake and eat it too". A balance is needed between global constitutionalization through sectoral integration and preserving international law's normative legitimacy, as well as, between international economic governance and the domestic democratic political process. Nevertheless, as argued and shown by the new constitutionalists, the neoliberal SC has worn domestic democracies away by narrowing their regulatory policies and undercutting their democratic stability and internal solidarity. Due to the excessive pressure that it exerts on DLOs, the neoliberal SC is prone to threaten domestic solidarity, trust, and national identity, as well as deforming what I call the democracy-legitimate authority cycle. The erosion of democracylegitimate authority cycle has further implications for the liberal international legal order, as it creates a fertile environment for populist and authoritarian leaders. They all reap the benefits of economic globalization even though they fall woefully short of meeting the basic standards of political liberalism and democracy. In other words, the neoliberal SC, which disconnects economic from political liberalism undermine democracy-legitimate authority cycle, provide a fertile environment for populist and authoritarian leaders who can challenge to the international legal order. For this reason, global constitutionalism, believed to compensate for the problems posed by globalization, bears the potential of weakening the non-instrumental legitimacy grounds of domestic authorities. Under these conditions, the legitimacy of international law is by no means incontestable and conclusive.